

# MORDELL-LANG AND SKOLEM-MAHLER-LECH THEOREMS FOR ENDOMORPHISMS OF SEMIABELIAN VARIETIES

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ABSTRACT. Using the Skolem-Mahler-Lech theorem, we prove a dynamical Mordell-Lang conjecture for semiabelian varieties.

## 1. INTRODUCTION

In 1991, Faltings [Fal94] proved the Mordell-Lang conjecture.

**Theorem 1.1** (Faltings). *Let  $G$  be an abelian variety defined over the field of complex numbers  $\mathbb{C}$ . Let  $X \subset G$  be a subvariety and  $\Gamma \subset G(\mathbb{C})$  a finitely generated subgroup of  $G(\mathbb{C})$ . Then  $X(\mathbb{C}) \cap \Gamma$  is a finite union of cosets of subgroups of  $\Gamma$ .*

Theorem 1.1 has been generalized to semiabelian varieties  $G$  by Vojta (see [Voj96]) and to finite rank subgroups  $\Gamma$  of  $G$  by McQuillan (see [McQ95]). Recall that a semiabelian variety (over  $\mathbb{C}$ ) is an extension of an abelian variety by a torus  $(\mathbb{G}_m)^k$ .

Vojta's result implies that if  $X$  is a subvariety of a semiabelian variety  $G$  defined over  $\mathbb{C}$  and  $X$  contains no translate of a positive dimensional algebraic subgroup of  $G$ , then for any positive integer  $n$ , the intersection of  $X$  with the orbit of a point  $P \in G(\mathbb{C})$  under the multiplication-by- $n$ -map must be finite. In this paper we describe the intersection of a subvariety of a semiabelian variety  $G$  defined over  $\mathbb{C}$  with the orbit of a point  $P \in G(\mathbb{C})$  under any endomorphism  $\phi : G \rightarrow G$ .

**Theorem 1.2.** *Let  $G$  be a semiabelian variety defined over  $\mathbb{C}$ , and let  $V \subset G$  be a subvariety defined over  $\mathbb{C}$ . Let  $\phi \in \text{End}(G)$ , let  $P \in G(\mathbb{C})$ , and let  $\mathcal{O} := \mathcal{O}_\phi(P)$  be the orbit of  $P$  under  $\phi$ . Then  $V(\mathbb{C}) \cap \mathcal{O}$  is either empty or a finite union of orbits of the form  $\mathcal{O}_{\phi^N}(\phi^\ell(P))$ , where  $N, \ell \in \mathbb{N}$ .*

Prior to Vojta's proof of the semiabelian case of the Mordell-Lang conjecture, Laurent [Lau84] proved the Mordell-Lang conjecture for any power of the multiplicative group. In particular, Laurent's result shows that if  $V \subset \mathbb{G}_m^k$  contains no translate of a positive dimensional torus, then  $V$  contains finitely many points of the orbit of any point of  $\mathbb{A}^k$  under the map  $(X_1, \dots, X_k) \mapsto (X_1^{e_1}, \dots, X_k^{e_k})$  (with  $e_i \in \mathbb{N}$ ) on  $\mathbb{A}^k$ . This led the authors

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conjecture in [GT] that a similar result holds for any polynomial action on the coordinates of the affine plane. This conjecture is the following.

**Conjecture 1.3.** *Let  $F_1, \dots, F_g$  be polynomials in  $\mathbb{C}[X]$ , let  $\mathcal{F}$  be their action coordinatewise on  $\mathbb{A}^g$ , let  $\mathcal{O}_{\mathcal{F}}((a_1, \dots, a_g))$  denote the  $\mathcal{F}$ -orbit of the point  $(a_1, \dots, a_g) \in \mathbb{A}^g(\mathbb{C})$ , and let  $V$  be a subvariety of  $\mathbb{A}^g$ . Then  $V$  intersects  $\mathcal{O}_{\mathcal{F}}((a_1, \dots, a_g))$  in at most a finite union of orbits of the form  $\mathcal{O}_{\mathcal{F}^N}(\mathcal{F}^\ell(a_1, \dots, a_g))$ , for some non-negative integers  $N$  and  $\ell$ .*

Conjecture 1.3 fits into Zhang's far-reaching system of dynamical conjectures [Zha06]. Zhang's conjectures include dynamical analogues of the Manin-Mumford and Bogomolov conjectures for abelian varieties (now theorems of Raynaud [Ray83a, Ray83b], Ullmo [Ull98], and Zhang [Zha98]), as well as a conjecture about the Zariski density of orbits of points under fairly general maps from a projective variety to itself. The latter conjecture is related to our Conjecture 1.3, though neither conjecture contains the other. Conjecture 1.3 has been proved in the case where  $g = 2$  and  $V$  is a line in  $\mathbb{A}^2$  (see [GTZ]).

Bell [Bel06] proved Conjecture 1.3 in the case the polynomials  $F_i$  are all linear. More precisely, Bell proved that if  $\phi : \mathbb{A}^g \rightarrow \mathbb{A}^g$  is an automorphism, then for every subvariety  $V \subset \mathbb{A}^g$  and any  $P \in \mathbb{A}^g$ , the set of positive integers  $k$  for which  $\phi^k(P) \in V$  is either empty or equal to a finite union of arithmetic progressions. Bell's result is an algebro-geometric generalization of a classical theorem by Skolem [Sko34] (which was later extended by Mahler [Mah35] and Lech [Lec53]). The Skolem-Mahler-Lech theorem says that if  $\{u_k\}_{k \in \mathbb{N}} \in \mathbb{C}$  is a linear recurrence sequence, then the set of all  $k \in \mathbb{N}$  such that  $u_k = 0$  is at most a finite union of arithmetic progressions (some of them possibly constant). For a quantitative version of the Skolem-Mahler-Lech theorem, we refer the reader to [ESS02].

The Skolem-Mahler-Lech theorem (see Proposition 3.2) is also instrumental in our proof of Theorem 1.2. For any endomorphism  $\phi$  of a semiabelian variety  $G$  defined over  $\mathbb{C}$ , the ring  $\mathbb{Z}[\phi]$  is a finite extension of  $\mathbb{Z}$ ; thus, a cyclic  $\mathbb{Z}[\phi]$ -module is a finitely generated  $\mathbb{Z}$ -module. Therefore, for each point  $P \in G(\mathbb{C})$ , a subvariety  $V$  of  $G$  intersects the cyclic  $\mathbb{Z}[\phi]$ -module  $\Gamma$  generated by  $P$  in a finite union of cosets of subgroups of  $\Gamma$ . Since

$$V(\mathbb{C}) \cap \mathcal{O}_\phi(P) = (V(\mathbb{C}) \cap \Gamma) \cap \mathcal{O}_\phi(P),$$

it suffices to describe the intersection of the  $\phi$ -orbit  $\mathcal{O}_\phi(P)$  with each coset of a subgroup of  $\Gamma$ , which is done using, among other techniques, also the Skolem-Mahler-Lech theorem. Our argument is similar to the one found in [Ghi] (see also [MS04] for the description of the intersection of subvarieties of a semiabelian variety  $G$  defined over a finite field  $\mathbb{F}_q$  with  $\mathbb{Z}[F]$ -submodules of  $G$ , where  $F$  is the Frobenius on  $\mathbb{F}_q$ ). We note that our methods are not  $p$ -adic (as in the case of the classical Skolem-Mahler-Lech theorem), but rather geometric (as in the case of the classical Mordell-Lang conjecture). Thus, they represent a connection of sorts between the Skolem-Mahler-Lech

theorem and Mordell-Lang conjecture. It may also be possible to give a purely  $p$ -adic analytic proof of Theorem 1.2, using logarithms, following the example of [GT07, GT])

We briefly sketch the plan of our paper. In Section 2 we define the property for a general morphism from a variety into itself to satisfy the “Mordell-Lang condition” (see Definition 2.1), and then we show the connection between the Mordell-Lang condition and our Theorem 1.2, and our Conjecture 1.3. In Section 3 we state the Skolem-Mahler-Lech theorem, while in Section 4 we prove Theorem 1.2.

*Notation.* Throughout this paper,  $f^n$  denotes the  $n^{\text{th}}$  iterate of the map  $f$ . We also use  $\alpha^n$  and  $X^n$  for the  $n^{\text{th}}$  power of a constant or of  $X$  itself, but this should not cause confusion. We write  $\mathbb{N}$  for the set of non-negative integers. An arithmetic progression in  $\mathbb{N}$  is a set of the form  $\{Nk + \ell : k \in \mathbb{N}\}$  for some  $N, \ell \in \mathbb{N}$  (if  $N = 0$ , then the set consists of only one element  $\{\ell\}$ ). We write  $\overline{K}$  for an algebraic closure of the field  $K$ .

If  $\varphi : V \rightarrow V$  is a map from a variety to itself and  $z$  is a point on  $V$ , we define the orbit  $\mathcal{O}_\varphi(z)$  of  $z$  under  $\varphi$  as

$$\mathcal{O}_\varphi(z) = \{\varphi^k(z) : k \in \mathbb{N}\}.$$

## 2. AN EQUIVALENT CONJECTURE

In this section, we present a condition that is equivalent to the conclusions of Theorem 1.2 and of Conjecture 1.3 but has a statement that may seem more familiar.

**Definition 2.1.** *Let  $V$  be a variety over a field  $L$  and let  $\varphi : V \rightarrow V$  be a morphism. We say that  $\varphi$  satisfies the **Mordell-Lang condition** if for any subvariety  $W$  of  $V$  and any point  $z$  in  $V(L)$ , there are  $\varphi$ -periodic subvarieties  $Y_1, \dots, Y_m$  of  $W$  and points  $w_1, \dots, w_n$  in  $W$  such that*

$$\mathcal{O}_\varphi(z) \cap W = \left( \bigcup_{i=1}^m (Y_i \cap \mathcal{O}_\varphi(z)) \right) \cup \{w_j : 1 \leq j \leq n\}.$$

Faltings’ proof of the Mordell-Lang conjecture and Vojta’s extension say the following.

**Theorem 2.2.** *Let  $G$  be a semiabelian variety defined over the field of complex numbers  $\mathbb{C}$ . Let  $X \subset G$  be a subvariety and  $\Gamma \subset G(\mathbb{C})$  a finitely generated subgroup of  $G(\mathbb{C})$ . Then there exist finitely many translates  $(y_i + Y_i) \subset X$  of positive dimensional algebraic subgroups  $Y_i \subset G$  (for  $1 \leq i \leq m$ ), and there exist finitely many points  $x_j \in X$  (for  $1 \leq j \leq n$ ), such that*

$$X(\mathbb{C}) \cap \Gamma = \left( \bigcup_{i=1}^m (y_i + Y_i) \cap \Gamma \right) \cup \{x_j : 1 \leq j \leq n\}.$$

We also note that according to [Hin88, Lemme 10], if an irreducible subvariety  $X$  of a semiabelian variety  $G$  is periodic under the multiplication-by- $\ell$ -map (for  $\ell > 1$ ), then  $X$  is a translate of an algebraic subgroup of  $G$ .

In this paper we show that any endomorphism of a semiabelian variety over  $\mathbb{C}$  satisfies the Mordell-Lang condition. We note that Bell [Bel06] proved that any automorphism of an affine variety also satisfies the Mordell-Lang condition. First we prove an equivalent formulation of the Mordell-Lang condition.

**Proposition 2.3.** *A morphism  $\varphi : V \rightarrow V$  satisfies the Mordell-Lang condition if and only if for any subvariety  $W$  of  $V$  and any point  $z \in V$ , the intersection of  $W$  with  $\mathcal{O}_\varphi(z)$  is equal to a finite union of orbits of the form  $\mathcal{O}_{\varphi^N}(\varphi^\ell(z))$ , for some non-negative integers  $N$  and  $\ell$ .*

*Proof.* Note that the proposition is trivial when  $z$  is preperiodic under  $\varphi$ . Thus, we assume that  $z$  is not preperiodic.

Suppose that

$$\mathcal{O}_\varphi(z) \cap W = \left( \bigcup_{i=1}^m (Y_i \cap \mathcal{O}_\varphi(z)) \right) \cup \{w_j : 1 \leq j \leq n\}.$$

Then for each  $Y_i$ , we let  $N := N_i$  be the period of  $Y_i$  and for each  $r \in \{0, \dots, N-1\}$ , we let  $\ell := \ell_{i,r}$  be the smallest non-negative integer  $\ell \equiv r \pmod{N}$  such that  $\varphi^\ell(z) \in Y_i$ . For each  $w_j$ , we let  $N = 0$  and let  $\ell$  be the unique non-negative integer such that  $\varphi^\ell(z) = w_j$ . Then we see that the intersection of  $V$  with  $\mathcal{O}_\varphi(z)$  is equal to a finite union of orbits of the form  $\mathcal{O}_{\varphi^N}(\varphi^\ell(z))$ , for some non-negative integers  $N$  and  $\ell$ .

Conversely, suppose that the intersection of  $V$  with  $\mathcal{O}_\varphi(z)$  is equal to a finite union of orbits of the form  $\mathcal{O}_{\varphi^N}(\varphi^\ell(z))$ , for some non-negative integers  $N$  and  $\ell$ . For each orbit where  $N = 0$ , we obtain a single point  $w_j$ . For each orbit where  $N \neq 0$ , taking the union of the positive dimensional components of the Zariski closure of the orbit yields a positive dimensional subvariety  $Y_i$  of  $W$  that is invariant under  $\varphi^N$ . The zero-dimensional components simply give additional points  $w_j$ .  $\square$

Thus, Theorem 1.2 says that any endomorphism  $\phi$  of a semiabelian variety satisfies the Mordell-Lang condition. Furthermore, our Conjecture 1.3 can be reformulated as follows.

**Conjecture 2.4.** *Let  $g \geq 1$ , let  $F_1, \dots, F_g$  be polynomials in  $\mathbb{C}[X]$ , and let  $\phi : \mathbb{A}^g \rightarrow \mathbb{A}^g$  be the morphism*

$$\varphi(z_1, \dots, z_g) := (F_1(z_1), \dots, F_g(z_g)).$$

*Then  $\varphi$  satisfies the Mordell-Lang condition.*

3. THE SKOLEM-MAHLER-LECH THEOREM

In this section we state the Skolem-Mahler-Lech theorem which will be used in our proof of Theorem 1.2. First we need to introduce the basic set-up for *linear recurrence sequences* (see [Eve84] for more details on linear recurrent sequences).

**Definition 3.1.** *The sequence  $\{u_k\}_{k \in \mathbb{N}}$  is a (linear) recurrence sequence, if there exists a positive integer  $n$ , and there exist constants  $c_1, \dots, c_n$  (with  $c_n \neq 0$ ) such that*

$$(3.1) \quad u_{k+n} = \sum_{i=1}^n c_i u_{k+n-i}, \text{ for each } k \in \mathbb{N}.$$

Assume  $n$  is the smallest positive integer for which there exist constants  $c_1, \dots, c_n$  satisfying (3.1). Every recurrence sequence as above has a *characteristic polynomial*

$$X^n - \sum_{i=1}^n c_i X^{n-i},$$

whose roots are called the *characteristic roots* of  $\{u_k\}_{k \in \mathbb{N}}$ . Note that because  $c_n \neq 0$ , each characteristic root is nonzero. We let  $\{\zeta_i\}_{i=1}^m$  be the distinct characteristic roots of  $\{u_k\}_{k \in \mathbb{N}}$ . Then there exist (single variable) polynomials  $\{f_i\}_{i=1}^m$  such that for each  $k \in \mathbb{N}$ , we have

$$(3.2) \quad u_k = \sum_{i=1}^m f_i(k) \zeta_i^k.$$

If  $K$  is an algebraically closed field, and  $u_0, \dots, u_{n-1}; c_1, \dots, c_n \in K$ , then  $\zeta_i \in K$  and  $f_i \in K[X]$  for each  $i$ . Moreover, for *any* given  $\zeta_1, \dots, \zeta_m \in K$ , and *any* given polynomials  $f_1, \dots, f_m \in K[X]$ , the sequence  $\{u_k\}_{k \in \mathbb{N}} \subset K$  defined by (3.2) satisfies a linear recurrence relation.

The following result is the well-known Skolem-Mahler-Lech theorem (see [ESS02] for a more recent quantitative version).

**Proposition 3.2.** *Let  $m \in \mathbb{N}$ , let  $\zeta_1, \dots, \zeta_m \in \mathbb{C}^*$ , and let  $f_1, \dots, f_m \in \mathbb{C}[X]$ . Then for every  $C \in \mathbb{C}$ , the set of all  $k \in \mathbb{N}$  such that*

$$(3.3) \quad \sum_{i=1}^m f_i(k) \zeta_i^k = C$$

*is either empty or a finite union of arithmetic progressions.*

4. SEMIABELIAN VARIETIES WITH AN ENDOMORPHISM

We are ready to prove Theorem 1.2. We begin with some notation and some reductions.

Since every endomorphism of a semiabelian variety is integral over  $\mathbb{Z}$ , we may let  $X^g - \sum_{i=1}^g e_i X^{g-i}$  be the minimal polynomial of  $\phi$  over  $\mathbb{Z}$ . Then, for each  $k \geq 0$ , we have

$$(4.1) \quad \phi^{k+g}(P) = \sum_{i=1}^g e_i \phi^{k+g-i}(P).$$

If  $e_g = 0$ , then we let  $g_1$  be the largest index  $i$  for which  $e_i \neq 0$ . Because  $\mathcal{O} := \mathcal{O}_\phi(P)$  and  $\mathcal{O}_\phi(\phi^{g-g_1}(P))$  differ by finitely many points, it suffices to prove Theorem 1.2 for  $\phi^{g-g_1}(P)$  instead of  $P$ . Thus, by replacing  $P$  with  $\phi^{g-g_1}(P)$ , we may replace  $g$  by  $g_1$  in (4.1). Hence, without loss of generality, we assume that the constant  $e_g$  in (4.1) is nonzero.

For each  $j \in \{0, \dots, g-1\}$  we define the sequence  $\{z_{k,j}\}_{k \geq 0}$  as follows

$$(4.2) \quad z_{k,j} = 0 \text{ if } 0 \leq k \leq g-1 \text{ and } k \neq j;$$

$$(4.3) \quad z_{j,j} = 1, \text{ and}$$

$$(4.4) \quad z_{k,j} = \sum_{i=1}^g c_i z_{k-i,j} \text{ for all } k \geq g.$$

Using (4.2) and (4.3) we obtain that

$$(4.5) \quad \phi^k(P) = \sum_{j=0}^{g-1} z_{k,j} \phi^j(P), \text{ for every } 0 \leq k \leq g-1.$$

Using (4.1), (4.4) and (4.5), an easy induction on  $k$  shows that

$$(4.6) \quad \phi^k(P) = \sum_{j=0}^{g-1} z_{k,j} \phi^j(P), \text{ for every } k \geq 0.$$

For each  $j$ , the sequence  $\{z_{k,j}\}_{k \in \mathbb{N}}$  is a linear recurrence sequence; they all have the same characteristic polynomial. Hence there exists  $m \in \mathbb{N}$ , there exist  $\{\gamma_i\}_{1 \leq i \leq m} \subset \overline{\mathbb{Q}}$ , and there exist  $\{f_{j,i}\}_{\substack{0 \leq j \leq g-1 \\ 1 \leq i \leq m}} \subset \overline{\mathbb{Q}}[X]$  such that for every  $j \in \{0, \dots, g-1\}$ , and for every  $k \in \mathbb{N}$ , we have

$$(4.7) \quad z_{k,j} = \sum_{i=1}^m f_{j,i}(k) \gamma_i^k.$$

The numbers  $\gamma_i$  are the characteristic roots of the recurrence sequences  $\{z_{k,j}\}_{k \in \mathbb{N}}$ ; they are the same for each  $j$ .

Since  $\phi$  is integral over  $\mathbb{Z}$ , the module  $\mathbb{Z}[\phi]$  is a finite extension of  $\mathbb{Z}$ . Therefore, every finitely generated  $\mathbb{Z}[\phi]$ -module is also a finitely generated  $\mathbb{Z}$ -module. Let  $\Gamma$  be the cyclic  $\mathbb{Z}[\phi]$ -module generated by  $P$ . Then  $\Gamma$  is a finitely generated  $\mathbb{Z}$ -module, and so, by Vojta's proof ([Voj96]) of the

Mordell-Lang conjecture for semiabelian varieties,  $V(\mathbb{C}) \cap \Gamma$  is a finite union of cosets  $\{b_i + H_i\}_{i=1}^s$  of subgroups  $H_i \subset \Gamma$ . Hence

$$(4.8) \quad V(\mathbb{C}) \cap \mathcal{O} = \bigcup_{i=1}^s ((b_i + H_i) \cap \mathcal{O}).$$

Thus, it suffices to show that for each coset

$$(4.9) \quad (b + H) \subset \Gamma$$

appearing in (4.8), the intersection  $(b + H) \cap \mathcal{O}$  is either empty or a finite union of orbits of the form  $\mathcal{O}_{\phi^N}(\phi^\ell(P))$ , where  $N, \ell \in \mathbb{N}$ . Let us now fix some notation.

- We write

$$\Gamma = \Gamma_{\text{tor}} \bigoplus \Gamma_1,$$

where  $\Gamma_{\text{tor}}$  is a finite torsion group and  $\Gamma_1$  is a free group of finite rank.

- $\{R_1, \dots, R_n\}$  is a  $\mathbb{Z}$ -basis for  $\Gamma_1$ .
- For each  $j \in \{0, \dots, g-1\}$ , we let  $T^{(j)} \in \Gamma_{\text{tor}}$  and  $Q^{(j)} \in \Gamma_1$  such that  $\phi^j(P) = T^{(j)} + Q^{(j)}$ .
- For each such  $j$ , we let  $\{a_{j,i}\}_{1 \leq i \leq n} \subset \mathbb{Z}$  such that

$$(4.10) \quad Q^{(j)} = \sum_{i=1}^n a_{j,i} R_i.$$

Then, for each  $k \in \mathbb{N}$ , we have

$$(4.11) \quad \phi^k(P) = \left( \sum_{j=0}^{g-1} z_{k,j} T^{(j)} \right) + \left( \sum_{j=0}^{g-1} z_{k,j} \sum_{i=1}^n a_{j,i} R_i \right).$$

- For  $b$  in (4.9), we write  $b = b^{(0)} + b^{(1)}$ , where  $b^{(0)} \in \Gamma_{\text{tor}}$ , and  $b^{(1)} \in \Gamma_1$ .
- We write  $H_1 := H \cap \Gamma_1$ , where  $H$  is as in (4.9).
- For each  $h \in \Gamma_{\text{tor}}$ , if  $(h + \Gamma_1) \cap H$  is not empty, we fix  $(h + U_h) \in H$  for some  $U_h \in \Gamma_1$ .
- For each  $h \in \Gamma_{\text{tor}}$ , we let

$$\mathcal{O}^{(h)} := \{\phi^k(P) \in \mathcal{O} : (-h - b^{(0)} + \phi^k(P)) \in \Gamma_1\}.$$

With the above notation, we have

$$(4.12) \quad \begin{aligned} \mathcal{O} \cap (b + H) &= \bigcup_{h \in \Gamma_{\text{tor}}} \left( \mathcal{O}^{(h)} \cap \left( (h + b^{(0)}) + (b^{(1)} + U_h + H_1) \right) \right) \\ &= \bigcup_{h \in \Gamma_{\text{tor}}} \left( (h + b^{(0)}) + \left( (-h - b^{(0)} + \mathcal{O}^{(h)}) \cap (b^{(1)} + U_h + H_1) \right) \right), \end{aligned}$$

where  $-x + Y := \{-x + y : y \in Y\}$  for every point  $x$ , and every subset  $Y$  of  $G$ . For each  $h \in \Gamma_{\text{tor}}$  such that  $(h + \Gamma_1) \cap H = \emptyset$  the above intersection is empty (and there is no  $U_h$ ).

Using (4.11) and (4.12), we conclude that  $\mathcal{O} \cap (b + H)$  is a finite union over  $h \in \Gamma_{\text{tor}}$  of the points  $\phi^k(P)$  corresponding to  $k \in \mathbb{N}$  such that

$$(4.13) \quad \sum_{j=0}^{g-1} z_{k,j} T^{(j)} = h + b^{(0)} \quad \text{and} \quad \sum_{j=0}^{g-1} \left( z_{k,j} \sum_{i=1}^n a_{j,i} R_i \right) \in (b^{(1)} + U_h + H_1).$$

**Lemma 4.1.** *Let  $h \in \Gamma_{\text{tor}}$ . The set of  $k \in \mathbb{N}$  such that  $\sum_{j=0}^{g-1} z_{k,j} T^{(j)} = h$  is either empty or a finite union of arithmetic progressions.*

*Proof of Lemma 4.1.* Choose  $N \in \mathbb{N}$  such that  $\Gamma_{\text{tor}} \subset \Gamma[N]$ . Then the value of  $\sum_{j=0}^{g-1} z_{k,j} T^{(j)}$  is completely determined by the values of the  $z_{k,j}$  modulo  $N$ . Since there are finitely many  $g$ -tuples of integers modulo  $N$ , and each  $\{z_{k,j}\}_{k \in \mathbb{N}}$  is a linear recurrence sequence of degree  $g$  in  $\mathbb{Z}$ , it follows that each sequence  $\{z_{k,j}\}_{k \in \mathbb{N}}$  eventually begins to repeat itself modulo  $N$ , i.e. each sequence is preperiodic modulo  $N$ . Thus, each value taken by  $\sum_{j=0}^{g-1} z_{k,j} T^{(j)}$  is attained for  $k \in \mathbb{N}$  living in a finite union of arithmetic progressions.  $\square$

We will now prove a more difficult Lemma from which the proof of Theorem 1.2 will follow easily.

**Lemma 4.2.** *Let  $h \in \Gamma_{\text{tor}}$  be fixed such that  $(h + \Gamma_1) \cap H$  is not empty. The set of all  $k \in \mathbb{N}$  for which*

$$(4.14) \quad \sum_{j=0}^{g-1} \left( z_{k,j} \sum_{i=1}^n a_{j,i} R_i \right) \in (b^{(1)} + U_h + H_1)$$

*is either empty or a finite union of arithmetic progressions.*

*Proof of Lemma 4.2.* We first define three classes of subsets of  $\mathbb{Z}^n$ .

**Definition 4.3.** *A C-subset of  $\mathbb{Z}^n$  is a set  $C(d_1, \dots, d_n, D_1, D_2)$ , where  $d_1, \dots, d_n, D_1, D_2 \in \mathbb{Z}$  and  $D_2 \neq 0$ , containing all solutions  $(x_1, \dots, x_n) \in \mathbb{Z}^n$  of  $\sum_{i=1}^n d_i x_i \equiv D_1 \pmod{D_2}$ .*

*An L-subset of  $\mathbb{Z}^n$  is a set  $L(d_1, \dots, d_n, D)$ , where  $d_1, \dots, d_n, D \in \mathbb{Z}$ , containing all solutions  $(x_1, \dots, x_n) \in \mathbb{Z}^n$  of  $\sum_{i=1}^n d_i x_i = D$ .*

*A CL-subset of  $\mathbb{Z}^n$  is either a C-subset or an L-subset of  $\mathbb{Z}^n$ .*

The C-subsets may be thought of as satisfying congruence relations, while the L-subsets satisfy linear conditions.

**Claim 4.4.** *There exist CL-subsets  $S_1, \dots, S_n$  of  $\mathbb{Z}^n$  such that a point  $R := \sum_{i=1}^n c^{(i)} R_i$  lies in  $U_h + b^{(1)} + H_1$  if and only if*

$$(c^{(1)}, \dots, c^{(n)}) \in \bigcap_{i=1}^n S_i.$$

*Proof of Claim 4.4.* Because  $H_1 \subset \Gamma_1$  and  $\Gamma_1$  is a free  $\mathbb{Z}$ -module with basis  $\{R_1, \dots, R_n\}$ , we can find (after a possible relabeling of  $R_1, \dots, R_n$ ) a  $\mathbb{Z}$ -basis  $Q_1, \dots, Q_\ell$  (with  $1 \leq \ell \leq n$ ) of  $H_1$  of the following form:

$$Q_1 = \beta_1^{(i_1)} R_{i_1} + \dots + \beta_1^{(n)} R_n;$$



$$Q_2 = \beta_2^{(i_2)} R_{i_2} + \cdots + \beta_2^{(n)} R_n;$$

and in general

$$(4.15) \quad Q_j = \beta_j^{(i_j)} R_{i_j} + \cdots + \beta_j^{(n)} R_n$$

for each  $j \leq \ell$ , where

$$1 \leq i_1 < i_2 < \cdots < i_\ell \leq n$$

and all  $\beta_j^{(i)} \in \mathbb{Z}$ . We also assume  $\beta_j^{(i_j)} \neq 0$  for every  $j \in \{1, \dots, \ell\}$ .

Let  $b_{1,1}, \dots, b_{1,n} \in \mathbb{Z}$  such that  $b^{(1)} + U_h = \sum_{j=1}^n b_{1,j} R_j$ . Then  $R \in (b^{(1)} + U_h + H_1)$  if and only if there exist integers  $k_1, \dots, k_\ell$  such that

$$(4.16) \quad R = b^{(1)} + U_h + \sum_{i=1}^{\ell} k_i Q_i.$$

Using the expressions for the  $Q_i$  (in (4.15)),  $(b^{(1)} + U_h)$ , and  $R$  in terms of the  $\mathbb{Z}$ -basis  $\{R_1, \dots, R_n\}$  of  $\Gamma_1$ , we obtain the following relations for the coefficients  $c^{(j)}$ :

$$(4.17) \quad c^{(j)} = b_{1,j} \text{ for every } 1 \leq j < i_1;$$

$$(4.18) \quad c^{(j)} = b_{1,j} + k_1 \beta_1^{(j)} \text{ for every } i_1 \leq j < i_2;$$

$$(4.19) \quad c^{(j)} = b_{1,j} + k_1 \beta_1^{(j)} + k_2 \beta_2^{(j)} \text{ for every } i_2 \leq j < i_3$$

and so on, until

$$(4.20) \quad c^{(n)} = b_{1,n} + \sum_{i=1}^{\ell} k_i \beta_i^{(n)}.$$

We interpret the above relations as follows: the numbers  $c^{(1)}, \dots, c^{(n)}$  are the unknowns, while the numbers  $k_1, \dots, k_\ell$  are integer parameters, and all  $b_{1,i}$  and  $\beta_j^{(i)}$  are integer constants. We will show, by eliminating the parameters  $k_i$ , that the unknowns  $c^{(j)}$  must satisfy  $\ell$  linear congruences and  $(n - \ell)$  linear equations with coefficients involving only the  $b_{1,i}$  and the  $\beta_j^{(i)}$ . Each such equation will generate a **CL**-set.

We begin by expressing equation (4.18) for  $j = i_1$  as a linear congruence modulo  $\beta_1^{(i_1)}$  and obtain

$$(4.21) \quad c^{(i_1)} \equiv b_{1,i_1} \pmod{\beta_1^{(i_1)}}.$$

Equation (4.18) also gives us  $k_1 = \frac{c^{(i_1)} - b_{1,i_1}}{\beta_1^{(i_1)}}$ . Substituting this formula for  $k_1$  into (4.18) for each  $i_1 < j < i_2$ , we obtain

$$(4.22) \quad c^{(j)} = b_{1,j} + \frac{c^{(i_1)} - b_{1,i_1}}{\beta_1^{(i_1)}} \beta_1^{(j)} \text{ for every } i_1 < j < i_2.$$

We then express (4.19) for  $j = i_2$  as a linear congruence modulo  $\beta_2^{(i_2)}$  (also using the expression for  $k_1$  computed above). We obtain

$$(4.23) \quad c^{(i_2)} \equiv b_{1,i_2} + \frac{c^{(i_1)} - b_{1,i_1}}{\beta_1^{(i_1)}} \beta_1^{(i_2)} \pmod{\beta_2^{(i_2)}}.$$

Next we solve for  $k_2$  using (4.19) for  $j = i_2$  (along with our formula above for  $k_1$ ) and obtain

$$k_2 = \frac{c^{(i_2)} - b_{1,i_2} - \frac{c^{(i_1)} - b_{1,i_1}}{\beta_1^{(i_1)}} \beta_1^{(i_2)}}{\beta_2^{(i_2)}}.$$

Then we substitute this formula for  $k_2$  in (4.19) for  $i_2 < j < i_3$  and obtain

$$(4.24) \quad c^{(j)} = b_{1,j} + \frac{c^{(i_1)} - b_{1,i_1}}{\beta_1^{(i_1)}} \cdot \beta_1^{(j)} + \frac{c^{(i_2)} - b_{1,i_2} - \frac{c^{(i_1)} - b_{1,i_1}}{\beta_1^{(i_1)}} \beta_1^{(i_2)}}{\beta_2^{(i_2)}} \cdot \beta_2^{(j)}.$$

Continuing onward in this manner, we express  $c^{(n)}$  in terms of the integers  $b_{1,i_1}, \dots, b_{1,i_\ell}, b_{1,n}$ ,  $c^{(i_1)}, \dots, c^{(i_\ell)}$ , and  $\{\beta_j^{(i)}\}_{j,i}$ .

We observe that all of the above congruences and linear equations can be written as linear congruences or linear equations over  $\mathbb{Z}$  (after clearing the denominators). For example, the congruence equation (4.23) can be written as the following linear congruence over  $\mathbb{Z}$ :

$$\beta_1^{(i_1)} \cdot c^{(i_2)} \equiv \beta_1^{(i_1)} b_{1,i_2} + \left( c^{(i_1)} - b_{1,i_1} \right) \beta_1^{(i_2)} \pmod{\beta_1^{(i_1)} \cdot \beta_2^{(i_2)}}.$$

Hence all the above conditions that must be satisfied by  $c^{(j)}$  for which

$$\sum_{j=1}^n c^{(j)} R_j \in (b^{(1)} + U_h + H_1)$$

are either linear equations over  $\mathbb{Z}$  (giving rise to L-subsets) or linear congruences over  $\mathbb{Z}$  (giving rise to C-subsets). There are precisely  $\ell$  congruences (corresponding to the  $\ell$  degrees of freedom introduced by the parameters  $k_i$ ) and  $(n - \ell)$  linear equations. This concludes the proof of Claim 4.4.  $\square$

We will now show that for each  $S_i$  that appears in Claim 4.4, there exists at most finitely many arithmetic progressions  $W_j^{(i)} \subset \mathbb{N}$  such that  $k \in \bigcup_j W_j^{(i)}$  if and only if  $(c^{(1)}, \dots, c^{(n)}) \in S_i$ , where

$$\sum_{i=1}^n c^{(i)} R_i := \sum_{j=0}^{g-1} \left( z_{k,j} \sum_{i=1}^n a_{j,i} R_i \right).$$

This will show that there exists at most a finite number of arithmetic progressions

$$\widetilde{W} := \bigcap_{i=1}^n \left( \bigcup_j W_j^{(i)} \right) \subset \mathbb{N}$$

such that  $k \in \widetilde{W}$  if and only if

$$(4.25) \quad \sum_{j=0}^{g-1} (z_{k,j} \sum_{i=1}^n a_{j,i}) R_i \in (b^{(1)} + U_h + H_1).$$

**Claim 4.5.** *Let  $C := C(d_1, \dots, d_n, D_1, D_2)$  be a  $\mathcal{C}$ -subset of  $\mathbb{Z}^n$ . There exists at most a finite number of arithmetic progressions  $W_j \subset \mathbb{N}$  such that  $k \in \bigcup_j W_j$  if and only if  $(c^{(1)}, \dots, c^{(n)}) \in C$ , where*

$$(4.26) \quad \sum_{i=1}^n c^{(i)} R_i := \sum_{j=0}^{g-1} (z_{k,j} \sum_{i=1}^n a_{j,i} R_i).$$

*Proof of Claim 4.5.* Using (4.26), we conclude that for every  $1 \leq i \leq n$ , we have

$$(4.27) \quad c^{(i)} = \sum_{j=0}^{g-1} a_{j,i} z_{k,j}.$$

Hence, the congruence equation  $\sum_{i=1}^n d_i c^{(i)} \equiv D_1 \pmod{D_2}$  yields the congruence

$$(4.28) \quad \sum_{j=0}^{g-1} h_j z_{k,j} \equiv D_1 \pmod{D_2}$$

for integers  $h_j := \sum_{i=1}^n d_i a_{j,i}$ , for each  $0 \leq j \leq g-1$  (we recall that all  $a_{j,i} \in \mathbb{Z}$ ). As noted in the proof of Lemma 4.1, recursively defined sequences over  $\mathbb{Z}$ , such as  $\{z_{k,j}\}_{k \in \mathbb{N}}$ , are preperiodic modulo any nonzero integer (hence, they are preperiodic modulo  $D_2$ ). Therefore the set of all solutions  $k \in \mathbb{N}$  to (4.28) is at most a finite union  $\bigcup_j W_j$  of arithmetic progressions in  $\mathbb{N}$ .  $\square$

**Claim 4.6.** *Let  $L := L(d_1, \dots, d_n, D)$  be an  $\mathcal{L}$ -subset of  $\mathbb{Z}^n$ . There exist at most finitely many arithmetic progressions  $W_j \subset \mathbb{N}$  such that  $k \in \bigcup_j W_j$  if and only if  $(c^{(1)}, \dots, c^{(n)}) \in L$ , where*

$$(4.29) \quad \sum_{i=1}^n c^{(i)} R_i := \sum_{j=0}^{g-1} (z_{k,j} \sum_{i=1}^n a_{j,i} R_i).$$

*Proof of Claim 4.6.* Using (4.29) and (4.7), we conclude that for every  $1 \leq i \leq n$ , we have

$$(4.30) \quad c^{(i)} = \sum_{j=0}^{g-1} a_{j,i} \sum_{\ell=1}^m f_{j,\ell}(k) \gamma_\ell^k.$$

The linear equation  $\sum_{i=1}^n d_i c^{(i)} = D$  yields the following equation (after collecting the coefficients of  $\gamma_\ell^k$  for each  $1 \leq \ell \leq m$ ):

$$(4.31) \quad \sum_{\ell=1}^m f_\ell(k) \gamma_\ell^k = D,$$

where  $f_\ell := \sum_{j=0}^{g-1} \sum_{i=1}^n d_i a_{j,i} \cdot f_{j,\ell} \in \overline{\mathbb{Q}}[X]$  for each  $\ell \in \{1, \dots, m\}$ . Using Proposition 3.2, the set of all  $k \in \mathbb{N}$  satisfying (4.31) is at most a finite union of arithmetic progressions, as desired.  $\square$

Since the intersection of two arithmetic progressions in  $\mathbb{N}$  is another arithmetic progression (or the empty set), Claims 4.5 and 4.6 finish the proof of Lemma 4.2.  $\square$

We are now ready to complete the proof of Theorem 1.2.

*Proof of Theorem 1.2.* It follows from Lemmas 4.1 and 4.2, that for each fixed  $h \in \Gamma_{\text{tor}}$ , there is at most a finite union  $W_h$  of arithmetic progressions in  $\mathbb{N}$  such that  $k \in \mathbb{N}$  satisfies the equations

$$(4.32) \quad \sum_{j=0}^{g-1} z_{k,j} T^{(j)} = h + b^{(0)} \quad \text{and}$$

$$(4.33) \quad \sum_{j=0}^{g-1} \left( z_{k,j} \sum_{i=1}^n a_{j,i} R_i \right) \in \left( b^{(1)} + U_h + H_1 \right)$$

if and only if  $k \in W_h$ . Using (4.12), (4.13), and that  $\Gamma_{\text{tor}}$  is finite, we conclude that the set of all  $k \in \mathbb{N}$  for which  $\phi^k(P) \in (b + H)$  is either empty or a finite union of arithmetic progressions.  $\square$

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