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NOTES FROM CLASS 09/20/21

There were some questions about the proof of unique factorization in Dedekind domains. I went over that the beginning, and also here's something very similar to get the flavor of these types of arguments.

**Theorem 7.1.** *Suppose that  $R$  is Dedekind. Then every ideal in  $R$  can be generated by two elements.*

*Proof.* Let  $I$  be an ideal of  $R$  and let  $x \in I$ . Then  $R/(x)$  is a direct sum of rings of the form  $R_{\mathfrak{p}}/R_{\mathfrak{p}}\mathfrak{p}^e$ . All such rings have only principal ideal so any ideal of  $R/(x)$  is principal. Let  $\varphi : R \rightarrow R/(x)$  and let  $\varphi(y)$  generate  $\varphi(I)$ . Then  $I = Rx + Ry$ .  $\square$

We make the following definitions

$\mathbf{F}(R)$  is the set of invertible fractional ideals of  $R$

$\mathbb{P}(R)$  is the set of principal fractional ideals of  $R$

and

$$\text{Pic}(R) = \mathbf{F}(R)/\mathbb{P}(R).$$

$\text{Pic}(R)$  is called the Picard group of  $R$ .

We will show that if  $R$  is a DVR, then all of the fractional ideals of  $R$  are invertible. We'll also want a few facts about invertible ideals.

**Lemma 7.2.** *Let  $J$  be a finitely generated fractional ideal of an integral domain  $R$  with field of fractions  $K$  and let  $S$  be a multiplicative set  $S$  in  $R$  not containing  $0$ . Then  $S^{-1}R(R : J) = (S^{-1}R : S^{-1}RJ)$ .*

*Proof.* Since  $xJ \subseteq R$  implies that  $\frac{x}{s}J \subseteq S^{-1}R$  for any  $s \in S$  it is clear that  $S^{-1}R(R : J) \subseteq (S^{-1}R : S^{-1}RJ)$ . To get the reverse inclusion, let  $y \in (S^{-1}R : S^{-1}RJ)$  and let  $m_1, \dots, m_n$  generate  $J$  as an  $R$ -module. Since  $yS^{-1}RJ \subseteq S^{-1}R$ , we must have  $ym_i \in S^{-1}R$ , so we can write  $ym_i = r_i/s_i$  where  $r_i \in R$  and  $s_i \in S$ . Since  $(s_1 \cdots s_n y)m_i = (\prod_{j \neq i} s_j)r_i \in R$ , this means that  $s_1 \cdots s_n y \in (R : J)$ . Thus,  $y \in S^{-1}R(R : J)$ .  $\square$

A note on definitions: Fractional ideals are not generally always assume to be finitely generated.

All invertible ideals are automatically finitely generated, though.

**Lemma 7.3.** *Let  $J$  be a fractional ideal of an integral domain  $R$ . Then  $J$  is invertible  $\Leftrightarrow J$  is finitely generated and  $R_{\mathfrak{m}}J$  is an invertible fractional ideal of  $R_{\mathfrak{m}}$  for every maximal ideal  $\mathfrak{m}$  of  $R$ .*

*Proof.* ( $\Rightarrow$ ) Let  $J$  be an invertible ideal ideal of  $R$ . Then we can write

$$\sum_{i=1}^k n_i m_i = 1$$

with  $n_i \in (R : J)$ . Since  $n_i J \in R$  for each  $i$ , we can write any  $y \in J$  as  $\sum_{i=1}^k (n_i y) m_i = y$ , so the  $m_i$  generate  $J$ . Hence,  $J$  is finitely generated. Let  $\mathfrak{m}$  be a maximal ideal of  $R$ . Since we can write  $J(R : J) = R$  we must have  $R_{\mathfrak{m}}(J(R : J)) = R_{\mathfrak{m}}$ , so  $(R_{\mathfrak{m}}J)(R_{\mathfrak{m}}(R : J)) = R_{\mathfrak{m}}$ , so  $R_{\mathfrak{m}}J$  is invertible

( $\Leftarrow$ ) For any ideal  $J$ , we can form  $J(R : J) \subseteq R$  (not necessarily equal to  $R$ ). This will be an ideal  $I$  of  $R$ . Let  $\mathfrak{m}$  be a maximal ideal of  $R$ . Since  $J$  is finitely generated by assumption, we can apply the Lemma immediately above to obtain  $(R_{\mathfrak{m}} : R_{\mathfrak{m}}J) = R_{\mathfrak{m}}(R : J)$ . Hence, we have  $R_{\mathfrak{m}}J(R : J) = R_{\mathfrak{m}}$ . Thus the ideal  $I = J(R : J)$  is not contained in any maximal ideal of  $R$ . Thus,  $I = R$  and  $J$  is invertible.  $\square$

**Theorem 7.4.** *Let  $R$  be a local integral domain of dimension 1. Then  $R$  is a DVR  $\Leftrightarrow$  every nonzero ideal (note: I didn't say fractional ideal) of  $R$  is invertible.*

*Proof.* ( $\Rightarrow$ ) If  $J$  is a fractional ideal, then  $xJ \subset R$  for some  $x \in R$ . Hence  $xJ = Ra$  for some  $a \in R$  since a DVR is PID. Thus,  $J = Ra x^{-1}$ . Clearly  $(R : J) = Ra^{-1}x$  and  $J(R : J) = 1$ , so  $J$  is invertible.

( $\Leftarrow$ ) Since every nonzero ideal  $I \subset R$  is invertible, every ideal of  $R$  is finitely generated, so  $R$  is Noetherian. Now, it will suffice to show that every nonzero ideal in  $R$  is a power of the maximal ideal  $\mathfrak{m}$  of  $R$ . The set of ideals  $I$  of  $R$  that are not a power of  $\mathfrak{m}$  (note: we consider  $R$  to  $\mathfrak{m}^0$ , so the unit ideal is considered to be a power of  $\mathfrak{m}$ ) has a maximal element if it is not empty. Then  $(R : \mathfrak{m})I \neq I$  since if  $(R : \mathfrak{m})I = I$ , then  $\mathfrak{m}I = I$  which means that  $I = 0$  by Nakayama's Lemma (note that  $R$  must be Noetherian since all fractional ideals are invertible). Since  $(R : \mathfrak{m})I \supseteq I$  (since  $1 \in (R : \mathfrak{m})$ ), this means that  $(R : \mathfrak{m})I$  is strictly larger than  $I$ , and is thus a power of  $\mathfrak{m}$ , so  $(R : \mathfrak{m})I\mathfrak{m}$  is also a power of  $\mathfrak{m}$ .  $\square$

Now, we have the global counterpart.

**Theorem 7.5.** *Let  $R$  be a integral domain of dimension 1. Then  $R$  is a Dedekind domain  $\Leftrightarrow$  every fractional ideal of  $R$  is invertible.*

*Proof.* ( $\Rightarrow$ ) Let  $J$  be a fractional ideal of  $R$ . Then, for every maximal ideal  $\mathfrak{m}$ , it is clear that  $R_{\mathfrak{m}}J$  is a fractional ideal of  $R_{\mathfrak{m}}$ . Since  $R_{\mathfrak{m}}$  is a DVR,  $R_{\mathfrak{m}}J$  must be therefore be invertible for every maximal ideal

m. Moreover,  $J$  must be finitely generated since there is an  $x \in K$  for which  $xJ$  is an ideal of  $R$  and every ideal of  $R$  is finitely generated since  $R$  is Noetherian. Therefore,  $J$  must be invertible by a Lemma 7.3.

( $\Leftarrow$ ) Since every ideal of  $R$  is invertible, every ideal of  $R$  is finitely generated, so  $R$  is Noetherian. So it's enough to show that  $R_{\mathfrak{p}}$  is a DVR for all nonzero primes  $\mathfrak{p}$ . Let  $J$  be an ideal of  $R_{\mathfrak{p}}$  and let  $I = J \cap R$ . Then  $I$  is invertible so  $R_{\mathfrak{p}}I = J$  is invertible by Lemma 7.3.. Thus  $R_{\mathfrak{p}}$  is a DVR by Theorem 7.4.  $\square$

Let's show that not only can every ideal  $I$  of a Dedekind domain  $R$  be factored uniquely, but so can every fractional ideal  $J$  of a Dedekind domain. Since every nonzero prime is invertible in  $R$ , we can write  $\mathfrak{p}^{-1} = (R : \mathfrak{p})$  for maximal  $\mathfrak{p}$  (by the way nonzero prime means the same thing as maximal in a 1-dimensional integral domain of course).

**Proposition 7.6.** *Let  $R$  be a Dedekind domain. Then every fractional ideal  $J$  of  $R$  has a unique factorization as*

$$J = \prod_{i=1}^n \mathfrak{p}_i^{e_i}$$

with all the  $e_i \neq 0$ .

*Proof.* To see that  $J$  has some factorization as above we note  $xJ$  is an ideal  $I$  in  $R$ . So if we factor  $Rx$  and  $I$  and write  $J = (x)^{-1}I$ , we have a factorization. To see that the factorization is unique we write

$$I = \left( \prod_{i=1}^n \mathfrak{p}_i^{e_i} \right) \left( \prod_{j=1}^m \mathcal{Q}_j^{-f_j} \right)$$

with all the  $e_i$  and  $f_j$  positive and no  $\mathcal{Q}_j$  equal to any  $\mathfrak{p}_i$ . Let  $I = \prod_{j=1}^m \mathcal{Q}_j^{f_j}$ . Then  $JI^2$  is an ideal of  $R$  with  $JI^2 = \left( \prod_{i=1}^n \mathfrak{p}_i^{e_i} \right) \left( \prod_{j=1}^m \mathcal{Q}_j^{f_j} \right)$ . Since  $I^2$  has a unique factorization and so does  $JI^2$ , so must  $J$  have a unique factorization.  $\square$

Back to showing that  $\mathcal{O}_K$  is Dedekind. All we need is to do is show that  $\mathcal{O}_K$  is Noetherian and one-dimensional. For  $R$ -modules ( $R$  a ring), it is easy to see that  $M$  satisfies the Noetherian ascending chain condition if and only if every submodule of  $M$  is finitely generated (as an  $R$ -module).

**Proposition 7.7.** *Let  $R$  be a ring, let  $M'$  and  $M''$  be Noetherian  $R$ -modules and let*

$$0 \longrightarrow M' \longrightarrow M \longrightarrow M'' \longrightarrow 0$$

be an exact sequence of  $R$ -modules. Then  $M$  is Noetherian.

*Proof.* We denote the map from  $M'$  into  $M$  as  $i$  and the map from  $M$  to  $M''$  as  $\phi$ . It will suffice to show that every submodule  $N$  of  $M$  is finitely generated. Since  $\phi(N)$  is a submodule  $N$  of  $M''$  it is finitely generated by, say,  $x_1, \dots, x_m$ . Since  $N \cap i(M')$ , which we denote as  $N'$ , is a submodule of  $i(M')$ , it is finitely generated by, say,  $y_1, \dots, y_n$ . For each  $x_i$ , let  $z_i \in N$  have the property that  $\phi(z_i) = x_i$  and let  $N''$  be the module they generate in  $N$ . Then  $N$  is generated by  $y_1, \dots, y_n, z_1, \dots, z_m$  since given any  $t \in N$  we can write  $\phi(t) = \sum_{i=1}^m r_i \phi(z_i)$ , so

$$\phi(t) - \sum_{i=1}^m r_i z_i \in N \cap i(M),$$

and  $N = N' + N''$ . □

**Corollary 7.8.** *Let  $A$  be a Noetherian ring and let  $M$  be a finitely generated  $A$ -module. Then  $M$  is a Noetherian  $A$ -module*

*Proof.* We proceed by induction on the number of generators of  $M$  as an  $A$ -module. If  $M$  has one generator, then it is isomorphic to some quotient of  $A$ , so we're done. Otherwise, let  $x_1, \dots, x_n$  generate  $M$  and write

$$0 \longrightarrow Rx_n \longrightarrow M \longrightarrow M/(Rx_n) \longrightarrow 0.$$

Then  $M/(Rx_n)$  is generated by the images of  $x_1, \dots, x_{n-1}$ , so must be Noetherian by the inductive hypothesis. By the Lemma above,  $M$  must be Noetherian. □

**Corollary 7.9.** *Let  $A$  be a Noetherian ring and let  $B \supseteq A$  be finitely generated as an  $A$ -module. Then  $B$  is a Noetherian ring.*

*Proof.* By the corollary above,  $B$  is a Noetherian  $A$ -module, so every ideal of  $B$  is finitely generated as an  $A$ -module, hence also as a  $B$ -module. □

What's the problem in general then for showing that  $\mathcal{O}_L$  is Dedekind for  $L$  a number field? The big problem is showing that it is  $\mathcal{O}_L$  is finitely generated as a  $\mathbb{Z}$ -module.

**Definition 7.10.** We say that  $M$  is a Noetherian  $R$ -module if for any ascending chain of  $R$ -submodules

$$M_0 \subseteq M_1 \subseteq \dots \subseteq M_n \subseteq \dots$$

there is an  $N$  such that  $M_i = M_j$  for all  $i, j \geq N$ .