

On Jacobi Sums, Multinomial Coefficients, and p -adic Hypergeometric Functions

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We extend the methods of our previous article to express certain special values of p -adic hypergeometric functions in terms of the p -adic gamma function and Jacobi sums over general finite fields. These results are obtained via p -adic congruences for Jacobi sums in terms of multinomial coefficients, and allow one to more fully exploit classical hypergeometric identities to obtain p -adic unit root formulae. © 1995 Academic Press, Inc.

1. INTRODUCTION

In [15] we gave some explicit formulae relating Jacobi sums over the prime field \mathbb{F}_p to values of p -adic hypergeometric functions. These formulae were obtained from combinatorial identities and the methods of Dwork ([4, 5]) and Koblitz [7], and may be viewed as p -adic analogues of classical results. The primary focus of this article is the generalization of these results to include Jacobi sums defined over finite extensions of \mathbb{F}_p .

We begin in Section 2 by giving congruence results for general Jacobi sums over finite fields of characteristic $p > 2$ in terms of multinomial coefficients. The main tools are the Gross–Koblitz formula and the properties of the p -adic gamma function. For Jacobi sums which are not p -adic units, the congruences we give are stronger than those typically predicted by the theory of formal group laws. We then apply these results to hypergeometric functions in Section 3 to give p -adic analogues of classical formulae. Equation (3.17) below is perhaps the best example (particularly in the case $n = 2m$), and the cohomological interpretation given in [15] remains valid relative to the Frobenius map $(x, y) \mapsto (x^q, y^q)$. The results (3.24), (3.27), (3.28), and (3.44) of [15] may also be extended by the methods found in this paper.

In Section 4 we consider the elliptic curve with affine equation $y^2 = x^3 - x$ which has supersingular reduction modulo p when $p \equiv -1 \pmod{4}$, and show that the roots of its zeta function over \mathbb{F}_p may be obtained from a limit of p -adic hypergeometric functions, although this is not the specialization of a uniform limit. As a further application, we also express the formal-group congruences associated to an Apéry sequence in terms of Jacobi sums.

2. JACOBI SUMS AND MULTINOMIAL COEFFICIENTS

Throughout this paper p will denote an odd prime, \mathbb{F}_q the finite field of $q = p^f$ elements, \mathbb{Z}_p the ring of p -adic integers, \mathbb{Q}_p the field of p -adic numbers, K the unramified extension of \mathbb{Q}_p of degree f , \mathbb{C}_p the completion of an algebraic closure of \mathbb{Q}_p , “ord” the valuation on \mathbb{C}_p normalized so that $\text{ord}(p) = 1$, and \mathfrak{O} the ring of integers of \mathbb{C}_p . We let $\pi \in \mathfrak{O}$ be a fixed solution to $\pi^{p-1} = -p$ and let ζ be the unique p th root of unity in \mathfrak{O} such that $\zeta \equiv 1 + \pi \pmod{\pi^2 \mathfrak{O}}$.

We define a map $\alpha \mapsto \alpha'$ on $\mathbb{Q} \cap \mathbb{Z}_p$ by requiring that $p\alpha' - \alpha = \mu_x \in \{0, 1, 2, \dots, p-1\}$. We write $\alpha^{(0)} = \alpha$, and $\alpha^{(i)} = (\alpha^{(i-1)})'$ for $i > 0$; we also will write $\mu_x^{(i)}$ for $\mu_{x^{(i)}}$. It follows that the $\mu_x^{(i)}$ are the digits in the p -adic expansion of $-\alpha$, that is, $-\alpha = \sum_{i=0}^{\infty} \mu_x^{(i)} p^i$. It is easy to verify that this map is well-defined and continuous; that $\alpha^{(i)} = 0$ for some i if and only if α is zero or a negative integer; and that $\alpha^{(f)} = \alpha$ if and only if α is a rational number in $[0, 1]$ with denominator dividing $q - 1$.

The p -adic gamma function Γ_p is defined for positive integers n by

$$\Gamma_p(n) = (-1)^n \prod_{\substack{0 < j < n \\ p \nmid j}} j, \tag{2.1}$$

and has an extension to a continuous function $\Gamma_p: \mathbb{Z}_p \rightarrow \mathbb{Z}_p^\times$, which is Lipschitz with constant 1, and satisfies the functional equations of translation and reflection

$$\Gamma_p(x+1) = \begin{cases} -x\Gamma_p(x), & x \in \mathbb{Z}_p^\times, \\ -\Gamma_p(x), & x \in p\mathbb{Z}_p; \end{cases} \tag{2.2}$$

$$\Gamma_p(x) \Gamma_p(1-x) = -(-1)^{x_n}, \quad x \in \mathbb{Z}_p. \tag{2.3}$$

Let $\psi: \mathbb{F}_p \rightarrow \mathbb{Q}_p(\zeta)$ be the additive character on \mathbb{F}_p defined by $\psi(\bar{i}) = \zeta^i$, and let $\psi_f: \mathbb{F}_q \rightarrow \mathbb{Q}_p(\zeta)$ denote the additive character on \mathbb{F}_q defined by $\psi_f(t) = \psi(\text{Tr}(t))$, where $\text{Tr}: \mathbb{F}_q \rightarrow \mathbb{F}_p$ is the trace map. The Teichmüller character $\omega_f: \mathbb{F}_q \rightarrow K$ is the unique multiplicative character on \mathbb{F}_q such that,

for all $t \in \mathbb{F}_q$, the reduction of $\omega_f(t) \pmod p$ is t . (We extend all multiplicative characters χ using the convention $\chi(0) = 0$.)

For $\alpha = a/(q-1)$ with $a \in \mathbb{Z}$, the Gauss sum $g(\omega_f^{-\alpha})$ over \mathbb{F}_q associated to the characters ψ_f and $\omega_f^{-\alpha}$ is defined by

$$g(\omega_f^{-\alpha}) = - \sum_{t \in \mathbb{F}_q} \psi_f(t) \omega_f^{-\alpha}(t). \tag{2.4}$$

Write $a = t(q-1) + c$ with $t, c \in \mathbb{Z}$ and $0 \leq c \leq q-1$, and put $\gamma = c/(q-1)$; then from the Gross–Koblitz formula [6] we have

$$g(\omega_f^{-\alpha}) = g(\omega_f^{-c}) = \frac{\pi^{S(c)}}{G_1} \cdot \prod_{i=0}^{f-1} \Gamma_p(\gamma^{(i)}), \tag{2.5}$$

where

$$G_1 = \begin{cases} 1, & \text{if } c < q-1, \\ q, & \text{if } c = q-1, \end{cases} \tag{2.6}$$

and where $S(c)$ denotes the sum of the digits in the base p expansion of c . (Allowing both $c = 0$ and $c = q-1$ will be useful later.)

If $s \geq 2$ and $\chi_1, \dots, \chi_s: \mathbb{F}_q \rightarrow K$ are multiplicative characters, the Jacobi sum $J(\chi_1, \dots, \chi_s)$ is defined by

$$J(\chi_1, \dots, \chi_s) = - \sum_{t_1 + \dots + t_s = 1} \chi_1(t_1) \cdots \chi_s(t_s). \tag{2.7}$$

A modification of ([13, Lemma 6.2]), using the results of [14] or ([9, Theorem 1.1]) shows that

$$J(\chi_1, \dots, \chi_s) = \frac{(-1)^s}{G_2} \cdot \frac{g(\chi_1) \cdots g(\chi_s)}{g(\chi_1 \cdots \chi_s)}, \tag{2.8}$$

where

$$G_2 = \begin{cases} 1, & \text{if } \chi_1 \cdots \chi_s \text{ is nontrivial,} \\ q, & \text{if } \chi_1 \cdots \chi_s \text{ is trivial but each } \chi_j \text{ is nontrivial.} \end{cases} \tag{2.9}$$

The following lemma will be used to relate Jacobi sums to multinomial coefficients via (2.5) and (2.8).

LEMMA 2.1. *Suppose m_1, \dots, m_s are nonnegative integers and write $m_j = k_j p + l_j$ with each $l_j \in \{0, 1, \dots, p-1\}$; set $m = m_1 + \dots + m_s$,*

$k = k_1 + \dots + k_s$, and $l = l_1 + \dots + l_s$. Let ε be a nonnegative integer and set $\delta = \llbracket (l + \varepsilon)/p \rrbracket$. Then

$$\frac{(m + \varepsilon)! k_1! \dots k_s!}{(k + \delta)! m_1! \dots m_s!} = (-p)^\delta \frac{\Gamma_p(-m_1) \dots \Gamma_p(-m_s)}{\Gamma_p(-m - \varepsilon)}.$$

Proof. We note that $(-m_j)' = -k_j$ for each j and $(-m - \varepsilon)' = -k - \delta$. From the definition of Γ_p we have

$$-\Gamma_p(1 + m_j) = (-1)^{m_j} p^{-k_j} \frac{m_j!}{k_j!}, \quad (2.10)$$

and a similar expression for $-\Gamma_p(1 + m + \varepsilon)$. Therefore we have

$$\frac{(m + \varepsilon)! k_1! \dots k_s!}{(k + \delta)! m_1! \dots m_s!} = (-1)^{s+1+\varepsilon} p^\delta \frac{\Gamma_p(1 + m + \varepsilon)}{\Gamma_p(1 + m_1) \dots \Gamma_p(1 + m_s)}. \quad (2.11)$$

The lemma then follows by applying the reflection formula (2.3), noting that each $\mu_{-m_j} = l_j$ and $\mu_{-m - \varepsilon} = l + \varepsilon - p\delta$.

We now give our principal congruence result for general Jacobi sums.

THEOREM 2.2. *Let $\alpha_1, \dots, \alpha_s \in \mathbb{Z}_p \cap \mathbb{Q} \cap [0, 1)$ satisfy $\alpha_j = a_j/(q-1)$ with each $a_j \in \mathbb{Z}$, and set $\alpha = \alpha_1 + \dots + \alpha_s$. We assume that $\alpha > 0$, and if $\alpha \in \mathbb{Z}$ we also assume each $\alpha_j > 0$. For $r \geq 0$ define the nonnegative integers $n_{j,r} = (q^r - 1)\alpha_j$, $n_r = (q^r - 1)\alpha$. Let t be the greatest integer strictly less than α , and suppose $t < p$. Let e be the p -adic ordinal of the Jacobi sum $J(\omega_f^{-a_1}, \dots, \omega_f^{-a_s})$. Then for each $r > 0$ we have the congruence*

$$\frac{\binom{n_r + t}{n_{1,r}, \dots, n_{s,r}, t}}{\binom{n_{r-1} + t}{n_{1,r-1}, \dots, n_{s,r-1}, t}} \equiv (-1)^s J(\omega_f^{-a_1}, \dots, \omega_f^{-a_s}) \pmod{p^{1+e} q^{r-1} \mathbb{Z}_p}.$$

Proof. For $j = 1, \dots, s$ we may write $n_{j,r} = \sum_{i=0}^{r-1} \mu_{\alpha_j}^{(i)} p^i$. For $0 \leq i \leq f-1$ we will apply Lemma 2.1 with $-m_j = (-n_{j,r})^{(i)}$, so that $-k_j = (-n_{j,r})^{(i+1)}$ and $l_j = \mu_{\alpha_j}^{(i)}$. For each i we choose the nonnegative integer $\varepsilon = \varepsilon_i$ so as to satisfy $(-n_r - t)^{(i)} = -n_{1,r}^{(i)} - \dots - n_{s,r}^{(i)} - \varepsilon_i$; this implies that $\delta = \varepsilon_{i+1}$ in the notation of the lemma. Thus $\varepsilon_{i+1} = \llbracket (\mu_{\alpha_1}^{(i)} + \dots + \mu_{\alpha_s}^{(i)} + \varepsilon_i)/p \rrbracket$; i.e., ε_{i+1} is the number of carries from the $(i+1)$ st to the $(i+2)$ nd digit in the base p addition of $a_1 + \dots + a_s + t$. Writing $\alpha = a/(q-1)$ with $a = (q-1)t + c$ and $0 < c \leq q-1$, and setting $\gamma = c/(q-1)$, it follows that $n_r + t = q^r t + (q^r - 1)\gamma$ for each $r \geq 0$. From this we see that $(-n_r - t)^{(f)} = -n_{r-1} - t$ for each $r > 0$, implying $\varepsilon_f = \varepsilon_0 = t$.

We take the product on both sides of these equalities from Lemma 2.1, as i runs from 0 to $f - 1$. On the left, the product telescopes, yielding

$$\frac{(n_r + t)! n_{1,r-1}! \cdots n_{s,r-1}!}{(n_{r-1} + t)! n_{1,r}! \cdots n_{s,r}!} = (-p)^e \prod_{i=0}^{f-1} \frac{\Gamma_p((-n_{1,r})^{(i)}) \cdots \Gamma_p((-n_{s,r})^{(i)})}{\Gamma_p((-n_r - t)^{(i)})}, \tag{2.12}$$

where $e = \varepsilon_1 + \cdots + \varepsilon_f$ is the number of carries in the base p addition $a_1 + \cdots + a_s + t$, since we assume $\varepsilon_f = t < p$. Since Γ_p is unit-valued and Lipschitz with constant 1 we have the congruence

$$\prod_{i=0}^{f-1} \frac{\Gamma_p((-n_{1,r})^{(i)}) \cdots \Gamma_p((-n_{s,r})^{(i)})}{\Gamma_p((-n_r - t)^{(i)})} \equiv \prod_{i=0}^{f-1} \frac{\Gamma_p(\alpha_1^{(i)}) \cdots \Gamma_p(\alpha_s^{(i)})}{\Gamma_p(\gamma^{(i)})} \pmod{pq^{f-1}\mathbb{Z}_p}, \tag{2.13}$$

and therefore

$$\frac{(n_r + t)! n_{1,r-1}! \cdots n_{s,r-1}!}{(n_{r-1} + t)! n_{1,r}! \cdots n_{s,r}!} \equiv (-p)^e \prod_{i=0}^{f-1} \frac{\Gamma_p(\alpha_1^{(i)}) \cdots \Gamma_p(\alpha_s^{(i)})}{\Gamma_p(\gamma^{(i)})} \pmod{p^{1+e}q^{f-1}\mathbb{Z}_p}. \tag{2.14}$$

We claim that the right member of the congruence (2.14) is precisely $(-1)^s J(\omega_f^{-a_1}, \dots, \omega_f^{-a_s})$. From (2.5) and (2.8), we see that

$$(-1)^s J(\omega_f^{-a_1}, \dots, \omega_f^{-a_s}) = \pi^g \cdot \prod_{i=0}^{f-1} \frac{\Gamma_p(\alpha_1^{(i)}) \cdots \Gamma_p(\alpha_s^{(i)})}{\Gamma_p(\gamma^{(i)})}, \tag{2.15}$$

where $g = S(a_1) + \cdots + S(a_s) - S(c)$; note that, since $0 < c \leq q - 1$, we have $c = q - 1$ if and only if w_f^{-a} is trivial, so that the factors G_1 and G_2 from (2.6) and (2.9) always cancel. Thus we need only show that $e = g/(p - 1)$. Since $a + t = tq + c$, we have $S(a + t) = S(tq + c) = S(t) + S(c)$, and therefore $g = S(a_1) + \cdots + S(a_s) + S(t) - S(a + t)$. As it is well-known that $\text{ord}(n!) = (n - S(n))/(p - 1)$, we see that $g/(p - 1)$ is the ordinal of the multinomial coefficient $\binom{a+t}{a_1, \dots, a_s, t}$, which is precisely the number e of carries in the base p addition $a_1 + \cdots + a_s + t$. The proof is now complete.

When $e > 0$ these congruences become stronger than those generally obtained from formal group laws. Considering the simplest case, suppose that $f = 1$ (so $p = q$); then $e = \varepsilon_1 = t$. The result for $s = 2$, $e = 0$ has been

given previously ([15, Corollary 2.2]). The congruences of Theorem 2.2 hold modulo $p^{r+t}\mathbb{Z}_p$, and therefore one has the result

$$\binom{n_r + t}{n_{1,r}, \dots, n_{s,r}, t} \equiv (-1)^s J(\omega_1^{-a_1}, \dots, \omega_1^{-a_s}) \times \binom{n_{r-1} + t}{n_{1,r-1}, \dots, n_{s,r-1}, t} \pmod{p^{(1+t)r}\mathbb{Z}_p}, \quad (2.16)$$

since the multinomial coefficient on the right side of (2.16) has p -adic ordinal $(r-1)t$. For $t \geq 1$ such results have been called supercongruences.

A second interesting case is obtained by taking an integer $d > 2$, an odd prime $p \equiv -1 \pmod{d}$, $s = 2$, and $\alpha_1 = \alpha_2 = 1/d$. Taking $f = 2$, $q = p^2$, one then has $e = 1$, $t = 0$, and the congruences read

$$\frac{\binom{2(q^r - 1)/d}{(q^r - 1)/d}}{\binom{2(q^{r-1} - 1)/d}{(q^{r-1} - 1)/d}} + p \equiv 0 \pmod{q^r\mathbb{Z}}, \quad (2.17)$$

as it is easily verified from (2.8), (2.5), and (2.3) that $J(\omega_2^{-a_1}, \omega_2^{-a_1}) = -p$. The $r = 1$ case of these congruences has been given in ([8, Proposition 3.1]); in the cases $d = 3, 4$ they arise from formal groups associated to certain supersingular elliptic curves and are related to elliptic cohomology. In Section 4 below we examine the supersingular elliptic curve with $j = 12^3$ which corresponds to the $d = 4$ case.

As the occurrence of the integer t in the multinomial coefficients of Theorem 2.2 is rather artificial, it is natural to remove it, which we now do.

COROLLARY 2.3. *Under the hypotheses of Theorem 2.2, for each $r > 0$ we have the congruence*

$$\frac{\binom{n_r}{n_{1,r}, \dots, n_{s,r}}}{\binom{n_{r-1}}{n_{1,r-1}, \dots, n_{s,r-1}}} \equiv (-1)^s J(\omega_f^{-a_1}, \dots, \omega_f^{-a_s}) \pmod{p^{b+d+e}q^{r-1}\mathbb{Z}_p},$$

where $d = 0$ if $\mu_x + t < p$ and $d = -1 - \text{ord}(\alpha' - 1)$ if $\mu_x + t \geq p$, and $b = 1 - \text{sgn}(t)$. Furthermore, in all cases we have $b + d + e \geq 1$, so the congruence always holds modulo $pq^{r-1}\mathbb{Z}_p$.

Proof. As before we write $\alpha = a/(q-1)$ with $a = t(q-1) + c$ and $0 < c \leq q-1$. If $t=0$ the results are immediate, so assume $1 \leq u \leq t < p$; then for all $r > 0$ we have

$$\frac{n_{r-1} + u}{n_r + u} = 1 + \frac{(q^{r-1} - q^r)(\alpha/(u-\alpha))}{1 + q^r(\alpha/(u-\alpha))}. \tag{2.18}$$

If $\text{ord}(\alpha - u) > 0$, then $\mu_x = p - u$, whence $\mu_x + t \geq p$; in this case set $-d = \text{ord}(\alpha - u)$, otherwise set $d=0$. In the former case, we note that $\alpha - u = \alpha + \mu_x - p = p(\alpha' - 1)$, showing that the two definitions of d coincide. By writing

$$\alpha - u \frac{-qu + (a + u)}{q - 1} = \frac{(t - u)q + (c - t + u)}{q - 1} \tag{2.19}$$

we see that $-d \leq f$, and that $-d = f$ if and only if $c + u = t$, in which case $a + u = tq$ with $t \geq 2$ and $\alpha - u = cq/(q-1)$. It follows that the denominator of the right side of (2.18) is a p -adic unit for all $r > 0$, and therefore

$$\frac{(n_{r-1} + 1) \cdots (n_{r-1} + t)}{(n_r + 1) \cdots (n_r + t)} \equiv 1 \pmod{p^d q^{r-1} \mathbb{Z}_p}, \tag{2.20}$$

from which it follows that

$$\frac{\binom{n_r}{n_{1,r}, \dots, n_{s,r}}}{\binom{n_{r-t}}{n_{1,r-1}, \dots, n_{s,r-1}}} \equiv \frac{\binom{n_r + t}{n_{1,r}, \dots, n_{s,r}, t}}{\binom{n_{r-1} + t}{n_{1,r-1}, \dots, n_{s,r-1}, t}} \pmod{p^{d+e} q^{r-1} \mathbb{Z}_p}, \tag{2.21}$$

since the right member of this congruence has p -adic ordinal e . Since $d \leq 1$, comparison with Theorem 2.2 proves the first assertion of the corollary.

We now recall that $e = \varepsilon_1 + \cdots + \varepsilon_f$ is the number of carries in the base p addition $a_1 + \cdots + a_s + t$. From (2.19) we see that $\text{ord}(\alpha - u) = \text{ord}(a + u) = -d$. Since the first $-d$ digits of $a + u$ are zero and $a < a + u \leq a + t$, we have $\varepsilon_i > 0$ for $0 \leq i \leq -d$. If $-d < f$ then we have $e \geq -d + \varepsilon_f \geq -d + 1$ since we assume $t = \varepsilon_f \geq 1$. If $-d = f$ then $t \geq 2$ by the remarks following (2.19); thus all $\varepsilon_i > 0$ and $\varepsilon_f \geq 2$, whence $e \geq f + 1$. Thus $d + e \geq 1$ in all cases, completing the proof.

3. JACOBI SUMS AND p -ADIC HYPERGEOMETRIC FUNCTIONS

We recall from our previous article [15] certain results and definitions concerning the p -adic theory of hypergeometric functions. If $\alpha_1, \dots, \alpha_k,$

$\gamma_1, \dots, \gamma_{k-1} \in \mathbb{Q} \cap \mathbb{Z}_p$ and none of the γ_j are zero or negative integers, we denote for $i \geq 0$ the hypergeometric series

$$\begin{aligned}
 F^{(i)}(X) &= {}_kF_{k-1} \left(\begin{matrix} \alpha_1^{(i)}, \dots, \alpha_k^{(i)} \\ \gamma_1^{(i)}, \dots, \gamma_{k-1}^{(i)} \end{matrix} ; X \right) = \sum_{n=0}^{\infty} A^{(i)}(n) X^n \\
 &= \sum_{n=0}^{\infty} \frac{(\alpha_1^{(i)})_n \cdots (\alpha_k^{(i)})_n}{(\gamma_1^{(i)})_n \cdots (\gamma_{k-1}^{(i)})_n n!} X^n, \tag{3.1}
 \end{aligned}$$

and for $i, s \geq 0$ set $F_s^{(i)}(X) = \sum_{n=0}^{p^s-1} A^{(i)}(n) X^n$. Suppose that the parameters satisfy the conditions

(C1) $|\gamma_j^{(i)}| = 1$ for all $i \geq 0, j = 1, \dots, k-1$;

(C2) For each fixed $i \geq 0$, supposing the indices are rearranged so that $\mu_{\alpha_1}^{(i)} \leq \dots \leq \mu_{\alpha_k}^{(i)}$ and $\mu_{\gamma_1}^{(i)} \leq \dots \leq \mu_{\gamma_m}^{(i)}$, where $\gamma_j \neq 1$ for $1 \leq j \leq m$ and $\gamma_j = 1$ for $m < j \leq k-1$, we have $\mu_{\gamma_j}^{(i)} > \mu_{\alpha_{j+1}}^{(i)}$ for $j = 1, \dots, m$.

Then for all $i \geq 0$ we have $F^{(i)}(X) \in \mathbb{Z}_p[[X]]$, and for $r \geq s \geq 0$ there are formal congruences

$$F_{r+1}^{(i)}(X) F_s^{(i+1)}(X^p) \equiv F_r^{(i+1)}(X^p) F_{s+1}^{(i)}(X) \pmod{p^{s+1} \mathbb{Z}_p[[X]]}. \tag{3.2}$$

These congruences imply that the ratio $F^{(0)}(x)/F^{(1)}(x^p)$ is the restriction to the disk $\{x \in \mathbb{C}_p : |x| < 1\}$ of the analytic element (i.e., uniform limit of rational functions)

$${}_k\tilde{\mathfrak{F}}_{k-1} \left(\begin{matrix} \alpha_1, \dots, \alpha_k \\ \gamma_1, \dots, \gamma_{k-1} \end{matrix} ; x \right) = \lim_{r \rightarrow \infty} F_{r+1}^{(0)}(x)/F_r^{(1)}(x^p), \tag{3.3}$$

which is supported on the Hasse domain

$$\mathfrak{D} = \{x \in \mathbb{C}_p : |F_V^{(i)}(x)| = 1 \text{ for all } i \geq 0\}. \tag{3.4}$$

For series satisfying $F^{(f)} = F^{(0)}$ we will obtain products of Jacobi sums over \mathbb{F}_q as values of the analytic element of support \mathfrak{D} defined by

$${}_k\tilde{\mathfrak{F}}_{k-1}^{(f)} \left(\begin{matrix} \alpha_1, \dots, \alpha_k \\ \gamma_1, \dots, \gamma_{k-1} \end{matrix} ; x \right) = \lim_{r \rightarrow \infty} F_{r+f}^{(0)}(x)/F_r^{(f)}(x^q) \tag{3.5}$$

(cf. [4, p. 42]) whose existence as a uniform limit for $x \in \mathfrak{D}$ follows from the the formal congruences (3.2) and the observation that

$$F_{r+f}^{(0)}(x)/F_r^{(f)}(x^q) = \prod_{i=0}^{f-1} F_{r+f-i}^{(i)}(x^{p^i})/F_{r+f-i-1}^{(i+1)}(x^{p^{i+1}}). \tag{3.6}$$

We note that the limits in (3.3), (3.5) may exist in \mathbb{Q}_p for certain values of $x \in \mathfrak{D}$ not lying in \mathfrak{D} , or when the hypotheses (C1), (C2) are not satisfied;

but in this case they need not be specializations of uniform limits. Here we will evaluate the limits (3.3), (3.5) at $x = \pm 1 \in \mathfrak{D}$ for functions satisfying (C1), (C2). Our method will be to p -adically approximate the given series by terminating series which can be evaluated by combinatorial results. For fixed $x_0 \in \mathfrak{D}$ the truncated series $F_s^{(i)}(x_0)$ are rational functions of the parameters $\alpha_j^{(i)}, \gamma_j^{(i)}$, and therefore one may appeal to their continuity with respect to the parameters (cf. [7]), although this need not be the case for the nonterminating $F^{(i)}(x_0)$.

We generalize our previous result ([15, Theorem 3.1]) giving a p -adic analogue of Kummer's theorem, which gives the value of a well-poised ${}_2F_1(-1)$.

THEOREM 3.1. *Let T denote the set of all $(\alpha, \beta) \in \mathbb{Z}_p^2$ such that $-1 \in \mathfrak{D}$ and both (C1), (C2) are satisfied for the series $F(X) = {}_2F_1(2\alpha, \beta; 1 + 2\alpha - \beta; X)$. Then $(\alpha, \beta) \in T$ if and only if*

- (i) $2\mu_\alpha^{(i)} \leq \mu_\beta^{(i)}$ for all $i \geq 0$;
- (ii) If $\beta \neq 2\alpha$ then $\mu_\beta^{(i)} - \mu_\alpha^{(i)} < (p-1)/2$ for all $i \geq 0$.

Furthermore, if $(\alpha, \beta) \in T$ then

$${}_2\mathfrak{F}_1\left(\begin{matrix} 2\alpha, \beta \\ 1 + 2\alpha - \beta \end{matrix}; -1\right) = (-1)^{\alpha} \frac{\Gamma_p(\alpha) \Gamma_p(\beta - \alpha)}{\Gamma_p(2\alpha) \Gamma_p(\beta - 2\alpha)};$$

and if in addition $((q-1)\alpha, (q-1)\beta) = (a, b) \in \{0, 1, \dots, q-1\}^2$ then

$${}_2\mathfrak{F}_1^{(f)}\left(\begin{matrix} 2\alpha, \beta \\ 1 + 2\alpha - \beta \end{matrix}; -1\right) = (-1)^a \frac{J(\omega_f^{-a}, \omega_f^{a-h})}{J(\omega_f^{-2a}, \omega_f^{2a-h})}.$$

Proof. Suppose $(\alpha, \beta) \in T$, and set $\gamma = 1 + 2\alpha - \beta$. If $\gamma = 1$ then $\beta = 2\alpha$, so $\mu_\beta^{(i)} = \mu_{2\alpha}^{(i)}$ for all i . Since $(\beta - 2\alpha) + \gamma = 1$ we have $\mu_{\beta-2\alpha}^{(i)} + \mu_\gamma^{(i)} = p-1$ for all i , so if $\gamma \neq 1$ then by (C2) we have $\mu_\gamma^{(i)} > \mu_{2\alpha}^{(i)}, \mu_\beta^{(i)}$, which implies that for all i ,

$$\mu_{2\alpha}^{(i)} + \mu_{\beta-2\alpha}^{(i)} < p-1, \tag{3.7}$$

$$\mu_\beta^{(i)} + \mu_{\beta-2\alpha}^{(i)} < p-1. \tag{3.8}$$

From (3.7) we see that in fact

$$\mu_{2\alpha}^{(i)} + \mu_{\beta-2\alpha}^{(i)} = \mu_\beta^{(i)} < p-1, \tag{3.9}$$

so in any event we have $0 \leq \mu_{2\alpha}^{(i)} \leq \mu_\beta^{(i)}$ for all i .

Since we assume that $F(X)$ satisfies (C1), (C2), we note that

$$F_1^{(i)}(-1) \equiv {}_2F_1\left(\begin{matrix} -M, -n \\ 1 + n - M \end{matrix}; -1\right) \pmod{p\mathbb{Z}_p}, \tag{3.10}$$

where $M = \mu_{2\alpha}^{(i)}$ and $n = \mu_{\beta}^{(i)}$. By equating coefficients of T^M in the expansions of $(1 - T)^n (1 + T)^n = (1 - T^2)^n$ one obtains, for $0 \leq M \leq n$,

$$\sum_{k=0}^M (-1)^k \binom{n}{k} \binom{n}{M-k} = \begin{cases} (-1)^m \binom{n}{m}, & \text{if } M = 2m, \\ 0, & \text{if } M \text{ is odd.} \end{cases} \tag{3.11}$$

Applying the identity

$$\binom{n}{M-k} = \binom{n}{M} \binom{M}{k} \binom{n-M+k}{k}^{-1} \tag{3.12}$$

in (3.11) shows that

$$\sum_{k=0}^M (-1)^k \frac{\binom{M}{k} \binom{n}{k}}{\binom{n-M+k}{k}} = (-1)^m \frac{\binom{n}{m}}{\binom{n}{2m}} \tag{3.13}$$

if $M = 2m \leq n$, whereas the sum in (3.13) is zero if M is odd. But since this sum is precisely ${}_2F_1(-M, -n; 1+n-M; -1)$, we see from (3.10) that $-1 \notin \mathfrak{D}$ for our $F(X)$ unless $M = \mu_{2\alpha}^{(i)}$ is an even integer for all $i \geq 0$.

Since $\mu_{2\alpha}^{(i)}$ must be even for all i , we have $\mu_{2\alpha}^{(i)} = 2\mu_{\alpha}^{(i)}$ for all i . From (3.9) we see that $2\mu_{\alpha}^{(i)} \leq \mu_{\beta}^{(i)}$ for all i , giving (i). Then substituting the equality in (3.9) into (3.8) yields $2\mu_{\beta}^{(i)} - 2\mu_{\alpha}^{(i)} < p - 1$, giving (ii).

Now suppose (i) and (ii) hold. From (i) we see that $\mu_{2\alpha}^{(i)} = 2\mu_{\alpha}^{(i)}$, $\mu_{\alpha}^{(i)} + \mu_{\beta-\alpha}^{(i)} = \mu_{\beta}^{(i)}$, and $\mu_{2\alpha}^{(i)} + \mu_{\beta-2\alpha}^{(i)} = \mu_{\beta}^{(i)}$ for all i . If $\gamma \neq 1$, then from (ii) we have

$$\begin{aligned} \mu_{\gamma}^{(i)} &= (p-1) - \mu_{\beta-2\alpha}^{(i)} \\ &= (p-1) + \mu_{2\alpha}^{(i)} - \mu_{\beta}^{(i)} \\ &= (p-1) + 2(\mu_{\alpha}^{(i)} - \mu_{\beta}^{(i)}) + \mu_{\beta}^{(i)} > \mu_{\beta}^{(i)} \geq 2\mu_{\alpha}^{(i)} \geq 0, \end{aligned} \tag{3.14}$$

and therefore the hypotheses (C1), (C2) are satisfied for $F(X)$. By comparison with Theorem 2.2, we see that for $m = \mu_{\alpha}^{(i)}$, $n = \mu_{\beta}^{(i)}$, $M = 2m$, the binomial coefficients on the right side of (3.13) are p -adic units, showing via (3.10) that $-1 \in \mathfrak{D}$. Thus $(\alpha, \beta) \in T$, proving the first statement of the theorem.

The basic idea of Koblitz [7] shows that $(\alpha, \beta) \mapsto {}_2\mathfrak{F}_1(2\alpha, \beta; 1+2\alpha-\beta; -1)$ is continuous on the set T . For $r > 0$ and $(\alpha, \beta) \in T$ set $m_r = \sum_{i=0}^{r-1} \mu_{\alpha}^{(i)} p^i$ and $n_r = \sum_{i=0}^{r-1} \mu_{\beta}^{(i)} p^i$. Since

$$(\mu_{-2m_r}^{(i)}, \mu_{-n_r}^{(i)}, \mu_{1+n_r-2m_r}^{(i)}) = \begin{cases} (\mu_{2\alpha}^{(i)}, \mu_{\beta}^{(i)}, \mu_{\gamma}^{(i)}), & \text{if } 0 \leq i \leq r, \\ (0, 0, 1), & \text{if } i \geq r, \end{cases} \tag{3.15}$$

we see that $(-2m_r, -n_r) \in T$ as well, because the pair $(0, 0)$ clearly satisfies conditions (i), (ii) above. We note that $(1 + 2\alpha - \beta)' = 1 + 2\alpha' - \beta'$ and $(1 + n_r - 2m_r)' = 1 + n'_r - 2m'_r$ for all r . Therefore if $s > 0$, it follows from (3.2) that there exists $R \geq s + 1$ such that

$$\begin{aligned}
 {}_2\tilde{\mathcal{F}}_1\left(\begin{matrix} 2\alpha, \beta \\ \gamma \end{matrix}; -1\right) &\equiv \frac{F_{s+1}\left(\begin{matrix} 2\alpha, \beta \\ \gamma \end{matrix}; -1\right)}{F_s\left(\begin{matrix} 2\alpha', \beta' \\ \gamma' \end{matrix}; (-1)^p\right)} \\
 &\equiv \frac{F_{s+1}\left(\begin{matrix} -2m_r, -n_r \\ 1 + n_r - 2m_r \end{matrix}; -1\right)}{F_s\left(\begin{matrix} -2m'_r, -n'_r \\ 1 + n'_r - 2m'_r \end{matrix}; (-1)^p\right)} \\
 &\equiv \frac{F\left(\begin{matrix} -2m_r, -n_r \\ 1 + n_r - 2m_r \end{matrix}; -1\right)}{F\left(\begin{matrix} -2m'_r, -n'_r \\ 1 + n'_r - 2m'_r \end{matrix}; (-1)^p\right)} \pmod{p^{s+1}\mathbb{Z}_p} \quad (3.16)
 \end{aligned}$$

for all $r \geq R$, the second congruence holding because the $F_s^{(i)}(-1)$ are rational functions of their parameters. We then use (3.13) with $M = 2m_r$, $n = n_r$, and with $M = 2m'_r$, $n = n'_r$, and Lemma 2.1, to obtain

$$\begin{aligned}
 {}_2\tilde{\mathcal{F}}_1\left(\begin{matrix} 2\alpha, \beta \\ \gamma \end{matrix}; -1\right) &= \lim_{r \rightarrow \infty} \frac{{}_2F_1\left(\begin{matrix} -2m_r, -n_r \\ 1 + n_r - 2m_r \end{matrix}; -1\right)}{{}_2F_1\left(\begin{matrix} -2m'_r, -n'_r \\ 1 + n'_r - 2m'_r \end{matrix}; (-1)^p\right)} \\
 &= \lim_{r \rightarrow \infty} (-1)^{m_r - m'_r} \frac{\binom{n_r}{m_r} \binom{n'_r}{2m'_r}}{\binom{n'_r}{m'_r} \binom{n_r}{2m_r}} \\
 &= \lim_{r \rightarrow \infty} (-1)^{m_r - m'_r} \frac{\Gamma_p(-m_r) \Gamma_p(m_r - n_r)}{\Gamma_p(-2m_r) \Gamma_p(2m_r - n_r)} \\
 &= (-1)^{\alpha} \frac{\Gamma_p(\alpha) \Gamma_p(\beta - \alpha)}{\Gamma_p(2\alpha) \Gamma_p(\beta - 2\alpha)}, \quad (3.17)
 \end{aligned}$$

as desired.

The Jacobi-sum values of associated ${}_2\tilde{\mathfrak{F}}_1^{(f)}$ for α, β lying also in $(1/(q-1))\mathbb{Z} \cap [0, 1]$ may be obtained from (3.17) with the aid of (3.6), (2.5), and (2.8), or directly as follows: Noting that $m_{rf} = (q^r - 1)\alpha$, $n_{rf} = (q^r - 1)\beta$, $(-2m_{rf})^{(f)} = -2m_{(r-1)f}$, $(-n_{rf})^{(f)} = -n_{(r-1)f}$, and $(1 + n_{rf} - 2m_{rf})^{(f)} = 1 + n_{(r-1)f} - 2m_{(r-1)f}$, we substitute (3.16) in (3.6), yielding

$$\begin{aligned} {}_2\tilde{\mathfrak{F}}_1^{(f)}\left(\begin{matrix} 2\alpha, \beta \\ \gamma \end{matrix}; -1\right) &= \lim_{r \rightarrow \infty} \frac{{}_2\tilde{\mathfrak{F}}_1\left(\begin{matrix} -2m_{rf}, -n_{rf} \\ 1 + n_{rf} - 2m_{rf} \end{matrix}; -1\right)}{{}_2F_1\left(\begin{matrix} -2m_{(r-1)f}, -n_{(r-1)f} \\ 1 + n_{(r-1)f} - 2m_{(r-1)f} \end{matrix}; (-1)^q\right)} \\ &= \lim_{r \rightarrow \infty} (-1)^{m_{rf} - m_{(r-1)f}} \frac{\binom{n_{rf}}{m_{rf}} \binom{n_{(r-1)f}}{2m_{(r-1)f}}}{\binom{n_{(r-1)f}}{m_{(r-1)f}} \binom{n_{rf}}{2m_{rf}}} \\ &= (-1)^a \frac{J(\omega_f^{-a}, \omega_f^{a-h})}{J(\omega_f^{-2a}, \omega_f^{2a-h})} \end{aligned} \tag{3.18}$$

via (3.13) with $M = 2m_{rf}$, $n = n_{rf}$, and with $M = 2m_{(r-1)f}$, $n = n_{(r-1)f}$, and Corollary 2.3. This completes the proof.

We remark that, by (3.13) and Corollary 2.3, the limit of hypergeometric functions given in (3.18) is indeed correct for any $\alpha, \beta \in (1/(q-1))\mathbb{Z} \cap [0, 1]$ such that $2\alpha \leq \beta$. However when $(\alpha, \beta) \notin T$ the symbol ${}_2\tilde{\mathfrak{F}}_1^{(f)}$ is not justified for this limit, as it need not be the specialization to -1 of a uniform limit on that residue class.

We now give a generalization of Dixon’s theorem, which gives the value of a well-poised ${}_3\tilde{\mathfrak{F}}_2(1)$. We give a proof along somewhat different lines than the one given in [15] for elements of $(1/(p-1))\mathbb{Z}$.

THEOREM 3.2. *Let T denote the set of all $(\alpha, \beta, \gamma) \in \mathbb{Z}_p^3$ such that $1 \in \mathfrak{D}$ and both (C1), (C2) are satisfied for the series $F(X) = {}_3F_2(2\alpha, \beta, \gamma; 1 + 2\alpha - \beta, 1 + 2\alpha - \gamma; X)$. Then $(\alpha, \beta, \gamma) \in T$ if and only if*

- (i) $2\mu_\alpha^{(i)} \leq \mu_\beta^{(i)}, \mu_\gamma^{(i)}$ and $\mu_\beta^{(i)} + \mu_\gamma^{(i)} - \mu_\alpha^{(i)} \leq p - 1$ for all $i \geq 0$;
- (ii) If $2\alpha, \beta, \gamma$ are not all equal then $\mu_\beta^{(i)} + \mu_\gamma^{(i)} - 2\mu_\alpha^{(i)} < p - 1$ for all $i \geq 0$.

Furthermore, if $(\alpha, \beta, \gamma) \in T$ then

$$\begin{aligned} &{}_3\tilde{\mathfrak{F}}_2\left(\begin{matrix} 2\alpha, \beta, \gamma \\ 1 + 2\alpha - \beta, 1 + 2\alpha - \gamma \end{matrix}; 1\right) \\ &= (-1)^{\mu_\alpha} \frac{\Gamma_p(\alpha) \Gamma_p(\gamma - \alpha) \Gamma_p(\beta - \alpha) \Gamma_p(\beta + \gamma - 2\alpha)}{\Gamma_p(2\alpha) \Gamma_p(\gamma - 2\alpha) \Gamma_p(\beta - 2\alpha) \Gamma_p(\beta + \gamma - \alpha)}, \end{aligned}$$

and if in addition $((q - 1) \alpha, (q - 1) \beta, (q - 1) \gamma) = (a, b, c) \in \{0, 1, \dots, q - 1\}^3$ then

$${}_3\tilde{\mathcal{F}}_2^{(f)} \left(\begin{matrix} 2\alpha, \beta, \gamma \\ 1 + 2\alpha - \beta, 1 + 2\alpha - \gamma \end{matrix}; 1 \right) = (-1)^a \frac{J(\omega_f^{-a}, \omega_f^{2a-b-c}) J(\omega_f^{-a}, \omega_f^{a-b})}{J(\omega_f^{-a}, \omega_f^{2a-c}) J(\omega_f^{-2a}, \omega_f^{2a-b})}.$$

Proof. Suppose $(\alpha, \beta, \gamma) \in T$, set $\delta = 1 + 2\alpha - \beta$ and $\epsilon = 1 + 2\alpha - \gamma$. If $\delta = \epsilon = 1$ then $2\alpha = \beta = \gamma$, so $\mu_{2\alpha}^{(i)} = \mu_{\beta}^{(i)} = \mu_{\gamma}^{(i)}$ for all i . Note that $\mu_{\beta-2\alpha}^{(i)} + \mu_{\delta}^{(i)} = p - 1$ and $\mu_{\gamma-2\alpha}^{(i)} + \mu_{\epsilon}^{(i)} = p - 1$ for all i . Therefore if δ, ϵ are not both equal to 1, (C2) implies that for all $i \geq 0$, either $\mu_{\delta}^{(i)} > \mu_{2\alpha}^{(i)}$ or $\mu_{\epsilon}^{(i)} > \mu_{2\alpha}^{(i)}$, so that for all i ,

$$\mu_{2\alpha}^{(i)} + \mu_{\beta-2\alpha}^{(i)} < p - 1 \quad \text{or} \quad \mu_{2\alpha}^{(i)} + \mu_{\gamma-2\alpha}^{(i)} < p - 1. \tag{3.19}$$

Now if for some i , say the former half of (3.19) holds, then in fact

$$\mu_{2\alpha}^{(i)} + \mu_{\beta-2\alpha}^{(i)} \leq \mu_{\beta}^{(i)} \leq \mu_{2\alpha}^{(i)} + \mu_{\beta-2\alpha}^{(i)} + 1 \leq p - 1, \tag{3.20}$$

so in particular $\mu_{2\alpha}^{(i)} \leq \mu_{\beta}^{(i)}$ for such i . But then (C2) requires that both $\mu_{\delta}^{(i)}, \mu_{\epsilon}^{(i)} > \mu_{2\alpha}^{(i)}$, so both inequalities in (3.19) must hold; thus in fact for any i we have

$$\mu_{2\alpha}^{(i)} + \mu_{\beta-2\alpha}^{(i)} = \mu_{\beta}^{(i)}, \quad \mu_{2\alpha}^{(i)} + \mu_{\gamma-2\alpha}^{(i)} = \mu_{\gamma}^{(i)}, \tag{3.21}$$

so in any event we have $\mu_{2\alpha}^{(i)} \leq \mu_{\beta}^{(i)}, \mu_{\gamma}^{(i)}$ for all i .

Since we assume that $F(X)$ satisfies (C1), (C2), we note that

$$F_1^{(i)}(1) \equiv {}_3F_2 \left(\begin{matrix} -S, -m, -n \\ 1 + m - S, 1 + n - S \end{matrix}; 1 \right) \pmod{p\mathbb{Z}_p}, \tag{3.22}$$

where $S = \mu_{2\alpha}^{(i)}$, $m = \mu_{\beta}^{(i)}$ and $n = \mu_{\gamma}^{(i)}$. A terminating form of the classical Dixon's theorem ([10, eq. (III.9)]) states that for $n, m \in \mathbb{Z}^+$, we have

$${}_3F_2 \left(\begin{matrix} -2s, -m, -n \\ 1 + m - 2s, 1 + n - 2s \end{matrix}; 1 \right) = \frac{(1 - 2s)_n (1 + m - s)_n}{(1 - s)_n (1 + m - 2s)_n} (1 + m - 2s)_n \tag{3.23}$$

if $2s$ is not a positive integer. We invoke the identity $(1 + x)_n = \Gamma(1 + x + n)/\Gamma(1 + x)$ to express each factor on the right side of (3.23) in terms of the classical gamma function. Using the classical functional

equations $\Gamma(1+z) = z\Gamma(z)$ and $\Gamma(z)\Gamma(1-z) = \pi \csc \pi z$ (here π has its more usual meaning) we obtain

$$\frac{\Gamma(1-s)}{\Gamma(1-2s)} = \frac{\Gamma(1+2s)}{\Gamma(1+s)} \cos \pi s, \tag{3.24}$$

which shows that the value of the ${}_3F_2(1)$ in (3.23) is equal to

$$\cos \pi s \frac{\Gamma(1+2s)\Gamma(1+n-2s)\Gamma(1+m+n-s)\Gamma(1+m-2s)}{\Gamma(1+s)\Gamma(1+n-s)\Gamma(1+m-s)\Gamma(1+m+n-2s)}. \tag{3.25}$$

As functions of s , both the ${}_3F_2(1)$ in (3.23) and the expression (3.25) are continuous at s when $2s$ is a positive integer such that $2s \leq m, n$, so their equality holds also in this case. Note that if $2s$ is a positive odd integer and $2s \leq m, n$ then $\cos \pi s = 0$ and the expression (3.25) is therefore zero. Since $\cos \pi N = (-1)^N$ and $\Gamma(1+N) = N!$ for $N \in \mathbb{Z}^+$, we obtain the identity

$${}_3F_2\left(\begin{matrix} -2s, -m, n \\ 1+m-2s, 1+n-2s \end{matrix}; 1\right) = (-1)^s \frac{\binom{m+n-s}{s} \binom{m}{s}}{\binom{n-s}{s} \binom{m}{2s}}, \tag{3.26}$$

valid for integers positive integers s, n, m such that $2s \leq m, n$, whereas this value is zero if $2s$ is a positive odd integer and $2s \leq m, n$. Comparing this result with (3.22), we see that $1 \notin \mathfrak{D}$ for our $F(X)$ unless $S = \mu_{2x}^{(i)}$ is an even integer for all $i \geq 0$. Therefore $\mu_{2x}^{(i)} = 2\mu_x^{(i)}$ for all i , giving the first half of (i).

Since $2\mu_x^{(i)} \leq \mu_\beta^{(i)}, \mu_\gamma^{(i)}$ for all i , we see that the binomial coefficients $\binom{m}{s}$, $\binom{n}{s}$, and $\binom{m+n-s}{s}$ all lie in \mathbb{Z}_p^\times , where $s = \mu_x^{(i)}$, $m = \mu_\beta^{(i)}$ and $n = \mu_\gamma^{(i)}$. Comparing (3.22) and (3.26) (with $S = 2s$) shows that to ensure $1 \in \mathfrak{D}$ we need $\binom{m+n-s}{s} \in \mathbb{Z}_p^\times$ which requires $m+n-s \leq p-1$, giving the second half of condition (i).

Finally, if $2\alpha, \beta, \gamma$ are not all equal, then from (C2) we know that for all i , either $\mu_\delta^{(i)} > \mu_\gamma^{(i)}$ or $\mu_\delta^{(i)} > \mu_\beta^{(i)}$. Thus for all i ,

$$\mu_\gamma^{(i)} + \mu_{\beta-2\alpha}^{(i)} < p-1 \quad \text{or} \quad \mu_\beta^{(i)} + \mu_{\gamma-2\alpha}^{(i)} < p-1, \tag{3.27}$$

but in either case (3.21) yields $\mu_\beta^{(i)} + \mu_\gamma^{(i)} - 2\mu_\alpha^{(i)} < p-1$, which is condition (ii).

Now suppose (i) and (ii) hold. It follows easily that $\mu_{2x}^{(i)} = 2\mu_x^{(i)}$ and (3.21) holds for all i . Furthermore, if $2\alpha, \beta, \gamma$ are not all equal, then $\mu_{1+2\alpha-\beta}^{(i)} > \mu_\gamma^{(i)} \geq \mu_{2\alpha}^{(i)}$ and $\mu_{1+2\alpha-\gamma}^{(i)} > \mu_\beta^{(i)} \geq \mu_{2\alpha}^{(i)}$, so $F(X)$ satisfies (C1) and (C2). From (i) we see that for $s = \mu_x^{(i)}$, $m = \mu_\beta^{(i)}$ and $n = \mu_\gamma^{(i)}$, each binomial coefficient on the right side of (3.26) is a p -adic unit. Then (3.22) shows that $1 \in \mathfrak{D}$, proving the first statement of the theorem.

Now let $(\alpha, \beta, \gamma) \in T$, and for $r > 0$ set $s_r = \sum_{i=0}^{r-1} \mu_\alpha^{(i)} p^i$, $m_r = \sum_{i=0}^{r-1} \mu_\beta^{(i)} p^i$, and $n_r = \sum_{i=0}^{r-1} \mu_\gamma^{(i)} p^i$. Since

$$\begin{aligned}
 & (\mu_{-2s_r}^{(i)}, \mu_{-m_r}^{(i)}, \mu_{-n_r}^{(i)}, \mu_{1+m_r-2s_r}^{(i)}, \mu_{1+n_r-2s_r}^{(i)}) \\
 &= \begin{cases} (\mu_{2\alpha}^{(i)}, \mu_\beta^{(i)}, \mu_\gamma^{(i)}, \mu_{1+2\alpha-\beta}^{(i)}, \mu_{1+2\alpha-\gamma}^{(i)}), & \text{if } 0 \leq i < rf, \\ (0, 0, 0, 1, 1), & \text{if } i \leq rf, \end{cases} \quad (3.28)
 \end{aligned}$$

we see that $(-2s_r, -m_r, -n_r) \in T$ as well. Therefore, for all $s > 0$ there exists $R \geq s + 1$ such that

$$\begin{aligned}
 {}_3\mathfrak{F}_2 \left(\begin{matrix} 2\alpha, \beta, \gamma \\ 1+2\alpha-\beta, 1+2\alpha-\gamma \end{matrix}; 1 \right) &\equiv \frac{F_{s+1} \left(\begin{matrix} 2\alpha, \beta, \gamma \\ 1+2\alpha-\beta, 1+2\alpha-\gamma \end{matrix}; 1 \right)}{F_s \left(\begin{matrix} 2\alpha', \beta', \gamma' \\ 1+2\alpha'-\beta', 1+2\alpha'-\gamma' \end{matrix}; 1^p \right)} \\
 &\equiv \frac{F_{s+1} \left(\begin{matrix} -2s_r, -m_r, -n_r \\ 1+m_r-2s_r, 1+n_r-2s_r \end{matrix}; 1 \right)}{F_s \left(\begin{matrix} -2s'_r, -m'_r, -n'_r \\ 1+m'_r-2s'_r, 1+n'_r-2s'_r \end{matrix}; 1^p \right)} \\
 &\equiv \frac{F \left(\begin{matrix} -2s_r, -m_r, -n_r \\ 1+m_r-2s_r, 1+n_r-2s_r \end{matrix}; 1 \right)}{F \left(\begin{matrix} -2s'_r, -m'_r, -n'_r \\ 1+m'_r-2s'_r, 1+n'_r-2s'_r \end{matrix}; 1^p \right)} \\
 &\pmod{p^{s+1}\mathbb{Z}^p} \quad (3.29)
 \end{aligned}$$

for all $r \geq R$. Then from (3.26) and Lemma 2.1 we have

$$\begin{aligned}
 {}_3\mathfrak{F}_2 \left(\begin{matrix} 2\alpha, \beta, \gamma \\ \delta, \varepsilon \end{matrix}; 1 \right) &= \lim_{r \rightarrow \infty} \frac{{}_3F_2 \left(\begin{matrix} -2s_r, -m_r, -n_r \\ 1+m_r-2s_r, 1+n_r-2s_r \end{matrix}; 1 \right)}{{}_3F_2 \left(\begin{matrix} -2s'_r, -m'_r, -n'_r \\ 1+m'_r-2s'_r, 1+n'_r-2s'_r \end{matrix}; 1^p \right)} \\
 &= \lim_{r \rightarrow \infty} (-1)^{s_r-s'_r} \frac{\binom{m_r+n_r-s_r}{s_r} \binom{m_r}{s_r} \binom{n_r-s'_r}{s'_r} \binom{m'_r}{2s'_r}}{\binom{m'_r+n'_r-s'_r}{s'_r} \binom{m'_r}{s'_r} \binom{n_r-s_r}{s_r} \binom{m_r}{2s_r}} \\
 &= (-1)^{\mu_\alpha} \frac{\Gamma_p(\alpha) \Gamma_p(\gamma-\alpha) \Gamma_p(\beta-\alpha) \Gamma_p(\beta+\gamma-2\alpha)}{\Gamma_p(2\alpha) \Gamma_p(\gamma-2\alpha) \Gamma_p(\beta-2\alpha) \Gamma_p(\beta+\gamma-\alpha)}, \quad (3.30)
 \end{aligned}$$

giving the second statement of the theorem.

As in (3.18), the Jacobi-sum values for ${}_3\tilde{\mathfrak{F}}_2^{(f)}(\alpha, \beta, \gamma; \delta, \varepsilon; 1)$ for α, β, γ lying also in $(1/(q-1))\mathbb{Z} \cap [0, 1]$ may be obtained by using (3.29), (3.26), (3.6), and Corollary 2.3 to evaluate

$$\begin{aligned} & \lim_{r \rightarrow \infty} \frac{{}_3F_2\left(\begin{matrix} -2s_{rf}, -m_{rf}, -n_{rf} \\ 1+m_{rf}-2s_{rf}, 1+n_{rf}-2s_{rf} \end{matrix}; 1\right)}{{}_3F_2\left(\begin{matrix} -2s_{(r-1)f}, -m_{(r-1)f}, -n_{(r-1)f} \\ 1+m_{(r-1)f}-2s_{(r-1)f}, 1+n_{(r-1)f}-2s_{(r-1)f} \end{matrix}; 1^q\right)} \\ &= \lim_{r \rightarrow \infty} (-1)^{s_{rf}-s_{(r-1)f}} \\ & \quad \times \frac{\binom{m_{rf}+n_{rf}-s_{rf}}{s_{rf}} \binom{m_{rf}}{s_{rf}} \binom{n_{(r-1)f}-s_{(r-1)f}}{s_{(r-1)f}} \binom{m_{(r-1)f}}{2s_{(r-1)f}}}{\binom{m_{(r-1)f}+n_{(r-1)f}-s_{(r-1)f}}{s_{(r-1)f}} \binom{m_{(r-1)f}}{s_{(r-1)f}} \binom{n_{rf}-s_{rf}}{s_{rf}} \binom{m_{rf}}{2s_{rf}}} \\ &= (-1)^a \frac{J(\omega_f^{-a}, \omega_f^{2a-b-c}) J(\omega_f^{-a}, \omega_f^{a-b})}{J(\omega_f^{-a}, \omega_f^{2a-c}) J(\omega_f^{-2a}, \omega_f^{2a-b})}. \end{aligned} \tag{3.31}$$

Again, the limit of hypergeometric functions given in (3.31) is correct for any $\alpha, \beta, \gamma \in (1/(q-1))\mathbb{Z} \cap [0, 1]$ such that $2\alpha \leq \beta, \gamma$, but the symbol ${}_3\tilde{\mathfrak{F}}_2^{(f)}$ is not justified for this limit unless $(\alpha, \beta, \gamma) \in T$.

4. APPLICATIONS

In ([4, eq. (6.29)]) Dwork showed that for the Legendre family of elliptic curves

$$E_\lambda: y^2 = x(x-1)(x-\lambda) \quad (\lambda \neq 0, 1), \tag{4.1}$$

if $\lambda^q = \lambda$ and the reduction of E_λ to \mathbb{F}_q is non-supersingular then the reciprocal unit root $\alpha(\lambda)$ of the zeta-function of the reduced curve E_λ/\mathbb{F}_q is given by

$$\alpha(\lambda) = (-1)^{(q-1)/2} {}_2\tilde{\mathfrak{F}}_1^{(f)}\left(\begin{matrix} \frac{1}{2}, \frac{1}{2} \\ 1 \end{matrix}; \lambda\right). \tag{4.2}$$

In [15] we noted that if $p \equiv 1 \pmod{4}$ and $q = p$ then $-1 \in \mathfrak{D}$, and the value

$$\alpha(-1) = (-1)^{(p-1)/4} J(\omega_1^{(1-p)/4}, \omega_1^{(1-p)/4}) \tag{4.3}$$

follows from (4.2) and our p -adic analogue of Kummer's theorem. However, if $p \equiv -1 \pmod{4}$ then the curve E_{-1} has supersingular reduction mod p . Here we show that in this case the roots of the zeta function of E_{-1} over \mathbb{F}_{p^2} are also given by a limit of hypergeometric functions as in (3.18). The symbol ${}_2\mathcal{F}_1^{(2)}(\frac{1}{2}, \frac{1}{2}; 1; -1)$ is not justified for this limit, however, because it is not the specialization to -1 of a uniform limit on that residue class.

This result is obtained from Corollary 2.3 and a theorem of Stienstra [12] as follows: Taking the double cover $U^2 = T_0 T_1^3 - T_0^3 T_1 = T_0 T_1 (T_0 - T_1)(T_0 + T_1)$ of \mathbb{P}^1 as a model of E_{-1} and applying ([12, Theorem 0.1]), one obtains the congruences

$$\beta_{mq^r} + a\beta_{mq^{r-1}} + q\beta_{mq^{r-2}} \equiv 0 \pmod{pq^{r-1}\mathbb{Z}}, \tag{4.4}$$

where

$$\beta_n = \begin{cases} (-1)^m \binom{2m}{m} = \sum_{k=0}^{2m} \binom{2m}{k}^2 (-1)^k, & \text{if } n = 2m + 1, \\ 0, & \text{otherwise,} \end{cases} \tag{4.5}$$

and where $P_1(T) = 1 + aT + qT^2$ is the numerator of the zeta function of E_{-1} over \mathbb{F}_q . When $p \equiv -1 \pmod{4}$ and $q = p^2$, using the first expression $\beta_{4m+1} = (-1)^m \binom{2m}{m}$ and Corollary 2.3 yields

$$\frac{\beta_{q^r}}{\beta_{q^{r-1}}} \equiv J(\omega_2^{(1-q)/4}, \omega_2^{(1+q)/4}) \pmod{q^r\mathbb{Z}_p}, \tag{4.6}$$

since this Jacobi sum has p -adic ordinal $e = 1$. Therefore the ratios $\beta_{q^r}/\beta_{q^{r-1}}$ converge in \mathbb{Z}_p , and we also find by induction in (4.6) that $\text{ord } \beta_{q^r} = r$. Setting $m = 1$ and dividing the congruence (4.4) by β_{q^r} then yields

$$1 + a \frac{\beta_{q^{r-1}}}{\beta_{q^r}} + q \frac{\beta_{q^{r-2}} \beta_{q^{r-1}}}{\beta_{q^{r-1}} \beta_{q^r}} \equiv 0 \pmod{p^{r-1}\mathbb{Z}_p}, \tag{4.7}$$

and then letting $r \rightarrow \infty$ in (4.7) shows that the ratios $\beta_{q^{r-1}}/\beta_{q^r}$ in fact converge to a root of $P_1(T)$. We note that in general congruences such as (4.4) do not imply convergence of these ratios in the supersingular case ($\text{ord } a > 0$); in particular when $p \equiv -1 \pmod{4}$ and $q = p$ this is evident from (4.5). However, having some other means (such as (4.6)) of establishing convergence, it is then easy to see that the limit is a root of the associated polynomial.

One may also check directly via character sums that the Jacobi sum in (4.6) is in fact the reciprocal root of the zeta function of $y^2 = x^3 - x$ over \mathbb{F}_{p^2} ; that is, $P(T) = (1 - \alpha T)^2$ with $\alpha = J(\omega_2^{(1-q)/4}, \omega_1^{(1-q)/4})$. Indeed, one easily obtains $J(\omega_2^{(1-q)/4}, \omega_2^{(1+q)/4}) = -p$ as in (2.17); since the reciprocal

roots of the zeta function over \mathbb{F}_p are $\pm\sqrt{-p}$, the reciprocal roots over \mathbb{F}_q are both $-p$. Using the second expression $\beta_{4m+1} = \sum_{k=0}^{2m} \binom{2m}{k}^2 (-1)^k$ in (4.5) we see that the limit of hypergeometric functions in (3.18) exists when $\alpha = \frac{1}{4}$, $\beta = \frac{1}{2}$, $\gamma = 1$, and $f = 2$; and (4.6), (4.7) show that the limit is indeed a reciprocal root of the zeta function of E_{-1} , although the root is not a unit root.

One should not expect to obtain the supersingular roots over \mathbb{F}_p in this manner, because they have p -adic ordinal $\frac{1}{2}$ and thus do not lie in \mathbb{Z}_p . As noted in ([15, pp. 239, 245]), one may view the Jacobi-sum expression for the limit of hypergeometric functions as arising from the complex multiplication $(x, y) \mapsto (-x, \sqrt{-1}y)$ on E_{-1} by the fourth roots of unity; this map commutes with the Frobenius $(x, y) \mapsto (x^q, y^q)$ if and only if $q \equiv 1 \pmod{4}$, showing why $f = 2$ is necessary to make this argument when $p \equiv -1 \pmod{4}$; but in view of ([4, eq. (6.28)]) does not explain why the value is a root of the zeta function, because the limit is not the specialization of a uniform limit.

We conclude with an application to the study of the Apéry numbers. In ([15, Corollary 4.2(iii)]) we proved that

$$\beta_{2,p} = (-1)^{(p-1)/2} {}_3\mathfrak{F}_2\left(\begin{matrix} \frac{1}{2}, \frac{1}{2}, \frac{1}{2} \\ 1, 1 \end{matrix}; -1\right), \tag{4.8}$$

where $\beta_{2,p}$ is the reciprocal of the p -adic unit root of the polynomial $P_{2,p}(T) = 1 - (4a^2 - 2p)T + p^2T^2$, whenever $p = a^2 + 2b^2$ with $a, b \in \mathbb{Z}$; this polynomial is the p th Hecke polynomial associated to a certain cusp from the weight 3 and level 8. The proof was obtained from formal-group congruences associated to the Apéry sequence

$$d(n) = \sum_{k=0}^n \binom{n}{k}^3 = {}_3F_2\left(\begin{matrix} -n, -n, -n \\ 1, 1 \end{matrix}; -1\right) \tag{4.9}$$

which were discovered by Stienstra and Beukers [11], and which exhibit $\beta_{2,p}$ as the reciprocal of the p -adic unit root of the zeta function of a certain $K3$ -surface. Here we express $\beta_{2,p}$ in terms of Jacobi sums over \mathbb{F}_p and over \mathbb{F}_{p^2} using a classical hypergeometric identity and Theorem 3.1.

The value of the hypergeometric function in (4.8) may be obtained by applying the well-known formula

$${}_3F_2\left(\begin{matrix} 2\alpha, \alpha + \beta, 2\beta \\ \alpha + \beta + \frac{1}{2}, 2\alpha + 2\beta \end{matrix}; x\right) = {}_2F_1\left(\begin{matrix} \alpha, \beta \\ \alpha + \beta + \frac{1}{2} \end{matrix}; x\right)^2 \tag{4.10}$$

of Clausen ([1, p. 185]) with $\alpha = \beta = \frac{1}{4}$. When $p \equiv 1 \pmod{8}$ we have $\frac{1'}{4} = \frac{1}{4}$, and so $f = 1$ suffices, while if $p \equiv 3 \pmod{8}$ we have $\frac{1'}{4} = \frac{3}{4}$ and $\frac{3'}{4} = \frac{1}{4}$, so we take $f = 2$ in that case. Since $\frac{1'}{2} = \frac{1}{2}$ in either case, we have

$$\begin{aligned}
 {}_3\tilde{\mathfrak{G}}_2\left(\begin{matrix} \frac{1}{2}, \frac{1}{2}, \frac{1}{2} \\ 1, 1 \end{matrix}; -1\right)^f &= {}_3\tilde{\mathfrak{G}}_2^{(f)}\left(\begin{matrix} \frac{1}{2}, \frac{1}{2}, \frac{1}{2} \\ 1, 1 \end{matrix}; -1\right) \\
 &= {}_2\tilde{\mathfrak{G}}_1^{(f)}\left(\begin{matrix} \frac{1}{4}, \frac{1}{4} \\ 1 \end{matrix}; -1\right)^2 \\
 &= J(\omega_f^{(1-q)/8}, \omega_f^{(1-q)/8})^2.
 \end{aligned}
 \tag{4.11}$$

When $p \equiv 1 \pmod{8}$ this yields

$$\beta_{2,p} = J(\omega_1^{(1-p)/8}, \omega_1^{(1-p)/8})^2,
 \tag{4.12}$$

while for $p \equiv 3 \pmod{8}$ we get

$$\beta_{2,p} = \varepsilon \cdot J(\omega_2^{(1-p^2)/8}, \omega_2^{(1-p^2)/8})
 \tag{4.13}$$

where $\varepsilon = \pm 1$.

The value in (4.12) is readily seen to be consistent with the result of Berndt and Evans ([2, Corollary 3.13]). In like fashion, the value $\varepsilon = -1$ in (4.13) may be determined by comparison with ([3, Theorem 4.6]).

One may also determine a Jacobi sum formula for the p -adic integer $\beta_{3,p}$ appearing in ([15, Corollary 4.2(iv)]) indirectly via (4.10) and the theory of elliptic curves. However, this question appears to remain open for the p -adic integer α_p in ([15, Corollary 4.2(v)]).

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