

**ELLIPTIC CURVES: WHAT THEY ARE, WHY THEY ARE  
CALLED ELLIPTIC, AND WHY TOPOLOGISTS LIKE THEM, I  
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EARLY HISTORY OF ELLIPTIC CURVES

In the 18th century it was natural to ask about the arc length of an ellipse. This question led to the study of integrals involving  $\sqrt{f(x)}$  where  $f(x)$  is a polynomial of degree 3 or 4. We know now that such integrals cannot be described in terms of familiar functions that we teach in calculus. They came to be known as *elliptic integrals*.

If the ellipse is a circle, then one can simplify things and replace  $f(x)$  by a quadratic polynomial. We teach our calculus students how to handle these “circular integrals,” for example we know that

$$\int \frac{dx}{\sqrt{1-x^2}} = \sin^{-1} x.$$

Experience has taught us that the inverse of this function, namely  $\sin x$ , is much easier to deal with. Thus circular integrals lead to trigonometric functions  $g(x)$ , many of which are periodic in the sense that

$$g(x + 2\pi) = g(x).$$

This means that as a function of a complex variable,  $g(x)$  is well defined on the quotient  $\mathbf{C}/2\pi\mathbf{Z}$ , which is topologically a cylinder.

Similarly, it is convenient to replace certain elliptic integrals by their inverses, which came to be known as *elliptic functions*. His insight was originally due to Abel.

It turns out that an elliptic function  $g(x)$  is doubly periodic in the following sense. There are nonzero complex numbers  $\omega_1$  and  $\omega_2$  (whose ratio is not real) such that

$$g(x + \omega_1) = g(x + \omega_2) = g(x).$$

This means that  $g(x)$  factors through the quotient  $\mathbf{C}/\Lambda$ , where  $\Lambda \subset \mathbf{C}$  is the lattice (additive subgroup) generated by  $\omega_1$  and  $\omega_2$ . Topologically,  $\mathbf{C}/\Lambda$  is a torus, and it is by definition an *elliptic curve over  $\mathbf{C}$* . It is also an Abelian group since it is a quotient of the additive group  $\mathbf{C}$ .

One can assume without loss of generality that  $\tau = \omega_1/\omega_2$  has positive imaginary part (permuting  $\omega_1$  and  $\omega_2$  if necessary), and by a simple rescaling, we can replace  $\omega_2$  by 1. Thus every elliptic curve is isomorphic to one associated with the lattice generated by 1 and  $\tau$ , where  $\tau$  lies in the upper half plane.

## ELLIPTIC CURVES AS PLANE CUBICS

Weierstrass determined the field of meromorphic functions that are doubly periodic with respect to a given lattice. His work led to a description of the corresponding elliptic curve as a cubic curve in the complex projective plane  $\mathbf{C}P^2$ .

Recall that  $\mathbf{C}P^2$  is the space of complex lines through the origin in the complex vector space  $\mathbf{C}^3$ . A nonzero point  $(x, y, z)$  determines such a line, which we denote by  $[x, y, z]$ . Note that

$$[\lambda x, \lambda y, \lambda z] = [x, y, z]$$

for any nonzero scalar  $\lambda$ , and there is no line with coordinates  $[0, 0, 0]$ .

Now let  $h(x, y, z)$  be a homogeneous polynomial of degree  $d$ . This means that

$$h(\lambda x, \lambda y, \lambda z) = \lambda^d h(x, y, z).$$

Hence  $h$  does *not* give a complex valued function on  $\mathbf{C}P^2$ , although it can be shown that it corresponds to a section of a certain line bundle over  $\mathbf{C}P^2$ .

However the set of points in  $\mathbf{C}P^2$  where  $h$  vanishes is well defined and is called a *projective plane curve of degree  $d$* , which we will denote by  $V_h$ . For “most” polynomials  $h$ ,  $V_h$  is an embedded Riemann surface of genus  $\binom{d-1}{2}$ .

By “most” I mean the following. The set of homogeneous polynomials of degree  $d$  is a complex vector space of dimension  $\binom{d+2}{2}$ . It is known to have an open dense subset of polynomials  $h$  for which  $V_h$  is as above. It is also clear that this is not true for all  $h$ . For example if  $h(x, y, z)$  is a product of  $d$  distinct linear factors, then  $V_h$  will be the union of  $d$  projective lines instead of a surface of the required genus.

Weierstrass showed that every elliptic curve  $\mathbf{C}/\Lambda$  is equivalent (in the appropriate sense) to a projective plane curve  $E$  of degree 3. He gave formulas for the coefficients of the polynomial in terms of the lattice  $\Lambda$  which I will not go into here. This geometric description leads to the following way to describe the Abelian groups structure induced by complex addition.

*The sum of any three colinear points in  $E$  is zero.*

Note that finding the intersection of  $E$  with a projective line  $L$  boils down to finding the roots of a cubic equation in one variable. The Fundamental Theorem of Algebra tells us that one of three things will happen.

- (i) There are three distinct roots, which means that  $L$  meets  $E$  at three distinct points, say  $A$ ,  $B$  and  $C$ .
- (ii) There are two distinct roots, which means that  $L$  meets  $E$  at two distinct points  $A$  and  $B$ . In this case the line is tangent to the point  $A$  corresponding to the repeated root, and  $2A + B = 0$ .
- (iii) There is a single root with multiplicity three. In this case  $L$  meets  $E$  tangentially at a single point of inflection  $A$ , and  $3A = 0$ .

The colinear rule is not quite enough to determine the group structure on  $E$  because it does not determine which point  $e \in E$  is the identity. Since  $3e = 0$ ,  $e$  must be a point of inflection, and it is known that there are 9 of them. This can be seen from the lattice point of view as follows.

Suppose the lattice  $\Lambda$  is generated by 1 and  $\tau$ . Then each of the 9 points points in the set

$$\{(a + b\tau)/3 : 0 \leq a, b < 3\}$$

has order dividing 3 in  $\mathbf{C}/\Lambda$ . Similarly, there are  $n^2$  points with order dividing  $n$ .

Once we have chosen one of the 9 points of inflection as the identity element, the group structure on  $E$  is determined by the colinear rule

## THE FORMAL GROUP LAW

Suppose that

$$h(x, y, z) = x^3 + axy^2 + by^3 - yz^2$$

for some constants  $a$  and  $b$ . This defines an elliptic curve provided that

$$4a^3 + 27b^2 \neq 0.$$

We can choose  $[0, 0, 1]$  to be our identity element. There is an embedding of the affine plane  $\mathbf{C}^2$  into the projective plane given by

$$(x, y) \mapsto [x, y, 1].$$

Let  $E'$  denote the intersection of this plane with the elliptic curve  $E$  defined by the equation  $h(x, y, z) = 0$ , so  $E'$  is the affine plane curve defined by

$$y = x^3 + axy^2 + by^3.$$

The identity element of  $E$  lies in  $E'$  at the origin.

The following facts are easily verified.

- (i) Near the origin there is an odd power series expansion for  $y$ , namely

$$y = y(x) = x^3 + ax^7 + bx^9 + 2a^2x^{11} + \dots,$$

so a point on  $E'$  near the origin is determined by its first coordinate. This power series has coefficients in the ring  $R = \mathbf{Z}[a, b]$ .

- (ii)  $(x, y) \in E'$  iff  $(-x, -y) \in E'$ , so the group theoretic inverse of the point  $(x, y)$  is  $(-x, -y)$ .
- (iii) Given two points  $(x_1, y_1)$  and  $(x_2, y_2)$  near the origin, let  $(x_3, y_3)$  denote their group theoretic sum. Then there is a power series expansion  $F(x_1, x_2)$  for  $x_3$  in terms of  $x_1$  and  $x_2$  with coefficients in  $R$ .

In addition to having a positive radius of convergence,  $F$  must satisfy the following three conditions.

- (i)  $F(u, 0) = F(0, u) = u$  since  $(0, 0)$  is the identity element.
- (ii)  $F(v, u) = F(u, v)$  since the group is Abelian.
- (iii)  $F(F(u, v), w) = F(u, F(v, w))$  by associativity.

The oddness of the series for  $y$  above implies additionally that  $F(u, -u) = 0$ .

We define a *commutative 1-dimensional formal group law over a ring  $R$*  to be a power series  $F \in R[[u, v]]$  satisfying the three conditions above, but without any convergence requirement. A commutative  $n$ -dimensional formal group law is a collection of  $n$  power series in  $2n$  variable satisfying similar conditions. For a much more thorough discussion [Rav04, Appendix 2].

The choice of  $h(x, y, z)$  above is convenient but not essential. The variable  $x$  could be replaced by a local coordinate at the identity, and we would still get a formal group law.

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