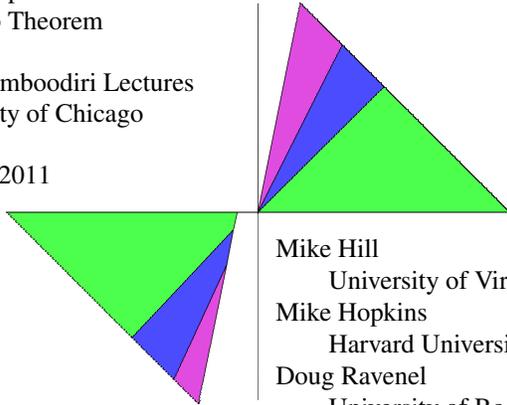


A solution to the Arf-Kervaire invariant problem III:
The Gap Theorem

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3.1

1 Our strategy

1.1 The main theorem

The main theorem

Main Theorem. *The Arf-Kervaire elements $\theta_j \in \pi_{2^{j+1}-2+n}(S^n)$ for large n do not exist for $j \geq 7$.*

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To prove this we produce a map $S^0 \rightarrow \Omega$, where Ω is a nonconnective spectrum (meaning that it has nontrivial homotopy groups in arbitrarily large negative dimensions) with the following properties.

- (i) **Detection Theorem.** It has an Adams-Novikov spectral sequence (which is a device for calculating homotopy groups) in which the image of each θ_j is nontrivial. **This means that if θ_j exists, we will see its image in $\pi_*(\Omega)$.**
- (ii) **Periodicity Theorem.** It is 256-periodic, meaning that $\pi_k(\Omega)$ depends only on the reduction of k modulo 256.
- (iii) **Gap Theorem.** $\pi_{-2}(\Omega) = 0$. This property is our **zinger**. Its proof involves a new tool we call the **slice spectral sequence** and is the subject of this talk.

1.2 How we construct Ω

How we construct Ω

Our spectrum Ω will be the fixed point spectrum for the action of C_8 (the cyclic group of order 8) on an equivariant spectrum $\tilde{\Omega}$.

To construct it we start with the complex cobordism spectrum MU . It can be thought of as the set of complex points of an algebraic variety defined over the real numbers. This means that it has an action of C_2 defined by complex conjugation. The notation $MU_{\mathbf{R}}$ (real complex cobordism) is used to denote MU regarded as a C_2 -spectrum.

MU is the Thom spectrum for the universal complex vector bundle, which is defined over the classifying space of the stable unitary group, BU .

- MU has an action of the group C_2 via complex conjugation. The resulting C_2 -spectrum is denoted by $MU_{\mathbf{R}}$
- $H_*(MU; \mathbf{Z}) = \mathbf{Z}[b_i : i > 0]$ where $|b_i| = 2i$.
- $\pi_*(MU) = \mathbf{Z}[x_i : i > 0]$ where $|x_i| = 2i$. This is the complex cobordism ring.

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How we construct Ω (continued)

Given a spectrum X acted on by a group H of order h and a group G of order g containing H , there are two formal ways to construct a G -spectrum from X :

(i) **The transfer.** The spectrum

$$Y = G_+ \wedge_H X \quad \text{underlain by} \quad \bigvee_{g/h} X$$

has an action of G which permutes the wedge summands, each of which is invariant under H . This is used to construct our slice cells

$$\widehat{S}(m\rho_H) = G_+ \wedge_H S^{m\rho_H}.$$

(ii) **The norm.** The spectrum

$$N_H^G X \quad \text{underlain by} \quad \bigwedge_{g/h} X$$

has an action of G which permutes the smash factors, each of which is invariant under H . This was described in the last lecture.

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How we construct Ω (continued)

In particular for $G = C_8$ and $H = C_2$ we get a G -spectrum

$$MU_{\mathbf{R}}^{(4)} = N_H^G MU_{\mathbf{R}}.$$

It has homotopy groups $\pi_*^G MU_{\mathbf{R}}^{(4)}$ indexed by the representation ring $RO(G)$.

Let ρ_G denote the regular representation of G . We form a G -spectrum $\tilde{\Omega}$ by inverting a certain element

$$D \in \pi_{19\rho_G} MU_{\mathbf{R}}^{(4)}.$$

Our spectrum Ω is its fixed point set,

$$\Omega = \tilde{\Omega}^G.$$

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2 MU

2.1 Basic properties

The slice filtration on $N_H^G MU_{\mathbf{R}}$

We want to study

$$MU_{\mathbf{R}}^{(2^n)} = N_H^G MU_{\mathbf{R}} \quad \text{where } H = C_2 \text{ and } G = C_{2^{n+1}}.$$

The homotopy of the underlying spectrum is

$$\pi_*^u MU_{\mathbf{R}}^{(2^n)} \mathbf{Z}[\gamma^j r_i : i > 0, 0 \leq j < 2^n] \quad \text{where } |r_i| = 2i.$$

It has a slice filtration and we need to identify the slices. The following notion is helpful.

Definition. Suppose X is a G -spectrum such that its underlying homotopy group $\pi_k^u(X)$ is free abelian. A *refinement of $\pi_k^u(X)$* is an equivariant map

$$c : \widehat{W} \rightarrow X$$

in which \widehat{W} is a wedge of slice cells of dimension k whose underlying spheres represent a basis of $\pi_k^u(X)$.

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2.2 Refining homotopy

The refinement of $\pi_*^u(MU_{\mathbf{R}}^{(4)})$

Recall that $\pi_*(MU) = \pi_*^u(MU_{\mathbf{R}})$ is concentrated in even dimensions and is free abelian. $\pi_{2k}^u(MU_{\mathbf{R}})$ is refined by an map from a wedge of copies of $\widehat{S}(k\rho_2)$.

$\pi_*^u(MU_{\mathbf{R}}^{(4)})$ is a polynomial algebra with 4 generators in every positive even dimension. We will denote the generators in dimension $2i$ by $r_i(j)$ for $1 \leq j \leq 4$. The action of a generator $\gamma \in G = C_8$ is given by

$$r_i(1) \xrightarrow{(-1)^j} r_i(2) \longrightarrow r_i(3) \longrightarrow r_i(4)$$

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The refinement of $\pi_*^u(MU_{\mathbf{R}}^{(4)})$ (continued)

$$r_i(1) \xrightarrow{(-1)^j} r_i(2) \longrightarrow r_i(3) \longrightarrow r_i(4)$$

We will explain how $\pi_*^u(MU_{\mathbf{R}}^{(4)})$ can be refined.

$\pi_2^u(MU_{\mathbf{R}}^{(4)})$ has 4 generators $r_1(j)$ that are permuted up to sign by G . It is refined by an equivariant map

$$\widehat{W}_1 = \widehat{S}(\rho_2) = C_{8+} \wedge_{C_2} S^{\rho_2} \rightarrow MU_{\mathbf{R}}^{(4)}.$$

Note that the slice cell $\widehat{S}(\rho_2)$ is underlain by a wedge of 4 copies of S^2 .

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The refinement of $\pi_*^u(MU_{\mathbf{R}}^{(4)})$ (continued)

$$r_i(1) \xrightarrow{(-1)^j} r_i(2) \longrightarrow r_i(3) \longrightarrow r_i(4)$$

In $\pi_4^u(MU_{\mathbf{R}}^{(4)})$ there are 14 monomials that fall into 4 orbits (up to sign) under the action of G , each corresponding to a map from a $\widehat{S}(m\rho_h)$.

$$\begin{aligned} \widehat{S}(2\rho_2) = C_{8+} \wedge_{C_2} S^{2\rho_2} &\longleftrightarrow \{r_1(1)^2, r_1(2)^2, r_1(3)^2, r_1(4)^2\} \\ \widehat{S}(2\rho_2) &\longleftrightarrow \{r_1(1)r_1(2), r_1(2)r_1(3), \\ &\quad r_1(3)r_1(4), r_1(4)r_1(1)\} \\ \widehat{S}(2\rho_2) &\longleftrightarrow \{r_2(1), r_2(2), r_2(3), r_2(4)\} \\ \widehat{S}(\rho_4) = C_{8+} \wedge_{C_4} S^{\rho_4} &\longleftrightarrow \{r_1(1)r_1(3), r_1(2)r_1(4)\} \end{aligned}$$

Note that the slice cells $\widehat{S}(2\rho_2)$ and $\widehat{S}(\rho_4)$ are underlain by wedges of 4 and 2 copies of S^4 respectively.

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The refinement of $\pi_*^u(MU_{\mathbf{R}}^{(4)})$ (continued)

$$r_i(1) \xrightarrow{(-1)^j} r_i(2) \longrightarrow r_i(3) \longrightarrow r_i(4)$$

It follows that $\pi_4^u(MU_{\mathbf{R}}^{(4)})$ is refined by an equivariant map from

$$\widehat{W}_2 = \widehat{S}(2\rho_2) \vee \widehat{S}(2\rho_2) \vee \widehat{S}(2\rho_2) \vee \widehat{S}(\rho_4).$$

A similar analysis can be made in any even dimension and for any cyclic 2-group G . G always permutes monomials up to sign. In $\pi_*^G(MU_{\mathbf{R}}^{(4)})$ the first case of a singleton orbit occurs in dimension 8, namely

$$\widehat{S}(\rho_8) \longleftrightarrow \{r_1(1)r_1(2)r_1(3)r_1(4)\}.$$

Note that the free slice cell $\widehat{S}(m\rho_1)$ never occurs as a wedge summand of \widehat{W}_m .

A large portion of our paper is devoted to proving that the slice spectral sequence has the desired properties.

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The slice spectral sequence (continued)

Slice Theorem . *In the slice tower for $MU_{\mathbf{R}}^{(g/2)}$, every odd slice is contractible, and the $2m$ th slice is $\widehat{W}_m \wedge H\mathbf{Z}$, where \widehat{W}_m is the wedge of slice cells indicated above and $H\mathbf{Z}$ is the integer Eilenberg-Mac Lane spectrum. \widehat{W}_m never has any free summands.*

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This result is the technical heart of our proof.

Thus we need to find the groups

$$\pi_*^G(\widehat{S}(m\rho_h) \wedge H\mathbf{Z}) = \pi_*^H(S^{m\rho_h} \wedge H\mathbf{Z}) = \pi_*((S^{m\rho_h} \wedge H\mathbf{Z})^H).$$

We need this for all nontrivial subgroups H and **all** integers m because we construct the spectrum $\tilde{\Omega}$ by inverting a certain element in $\pi_{19\rho_8}^G(MU_{\mathbf{R}}^{(4)})$. Here is what we will learn.

Computing $\pi_*^G(W(m\rho_h) \wedge H\mathbf{Z})$

Vanishing Theorem .

- For $m \geq 0$, $\pi_k^H(S^{m\rho_h} \wedge H\mathbf{Z}) = 0$ unless $m \leq k \leq hm$.
- For $m < 0$ and $h > 1$, $\pi_k^H(S^{m\rho_h} \wedge H\mathbf{Z}) = 0$ unless $hm \leq k \leq m - 2$. The upper bound can be improved to $m - 3$ except in the case $(h, m) = (2, -2)$ when $\pi_{-4}^H(S^{-2\rho_2} \wedge H\mathbf{Z}) = \mathbf{Z}$.

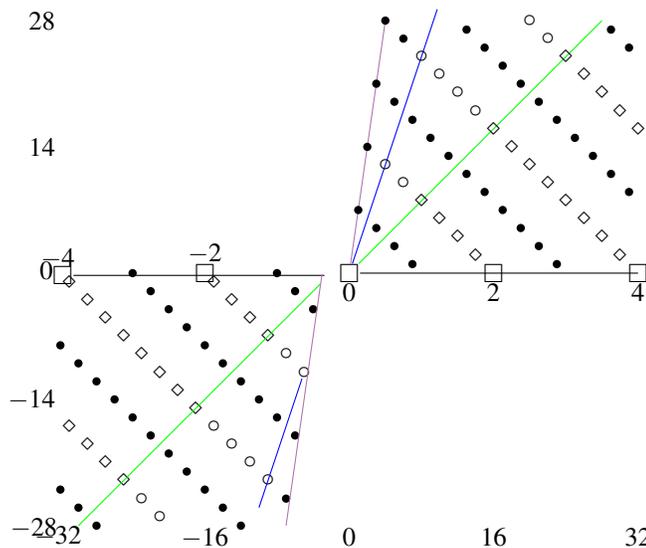
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Gap Corollary. For $h > 1$ and all integers m , $\pi_k^H(S^{m\rho_h} \wedge H\mathbf{Z}) = 0$ for $-4 < k < 0$.

Given the Slice Theorem, this means a similar statement must hold for $\pi_*^{C_8}(\tilde{\Omega}) = \pi_*(\Omega)$, which gives the Gap Theorem.

Computing $\pi_*^G(W(m\rho_h) \wedge H\mathbf{Z})$ (continued)

Here again is a picture showing $\pi_*^{C_8}(S^{m\rho_8} \wedge H\mathbf{Z})$ for small m .



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3 Proof of Gap Theorem

The proof of the Gap Theorem

The proofs of the Vanishing Theorem and Gap Corollary are [surprisingly easy](#).

We begin by constructing an equivariant cellular chain complex $C(m\rho_g)_*$ for $S^{m\rho_g}$, where $m \geq 0$. In it the cells are permuted by the action of G . It is a complex of $\mathbf{Z}[G]$ -modules and is uniquely determined by fixed point data of $S^{m\rho_g}$.

For $H \subset G$ we have

$$(S^{m\rho_g})^H = S^{mg/h}$$

This means that $S^{m\rho_g}$ is a G -CW-complex with

- one cell in dimension m ,
- two cells in each dimension from $m + 1$ to $2m$,
- four cells in each dimension from $2m + 1$ to $4m$,

and so on.

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The proof of the Gap Theorem (continued)

In other words,

$$C(m\rho_g)_k = \begin{cases} 0 & \text{unless } m \leq k \leq gm \\ \mathbf{Z} & \text{for } k = m \\ \mathbf{Z}[G/G'] & \text{for } m < k \leq 2m \text{ and } g \geq 2 \\ \mathbf{Z}[G/G''] & \text{for } 2m < k \leq 4m \text{ and } g \geq 4 \\ \vdots & \end{cases}$$

where G' and G'' are the subgroups of indices 2 and 4. Each of these is a cyclic $\mathbf{Z}[G]$ -module. The boundary operator is uniquely determined by the fact that $H_*(C(m\rho_g)) = H_*(S^{gm})$.

Then we have

$$\pi_*^G(S^{m\rho_g} \wedge H\mathbf{Z}) = H_*(\text{Hom}_{\mathbf{Z}[G]}(\mathbf{Z}, C(m\rho_g))) = H_*(C(m\rho_g)^G).$$

These groups are nontrivial only for $m \leq k \leq gm$, which gives the Vanishing Theorem for $m \geq 0$.

3.15

The proof of the Gap Theorem (continued)

We will look at the bottom three groups in the complex $\text{Hom}_{\mathbf{Z}[G]}(\mathbf{Z}, C(m\rho_g)_*)$. Since $C(m\rho_g)_k$ is a cyclic $\mathbf{Z}[G]$ -module, the Hom group is always \mathbf{Z} .

For $m > 1$ our chain complex $C(m\rho_g)$ has the form

$$0 \longleftarrow \begin{array}{c} C(m\rho_g)_m \\ \parallel \\ \mathbf{Z} \end{array} \xleftarrow{\varepsilon} \begin{array}{c} C(m\rho_g)_{m+1} \\ \parallel \\ \mathbf{Z}[C_2] \end{array} \xleftarrow{1-\gamma} \begin{array}{c} C(m\rho_g)_{m+2} \\ \parallel \\ \mathbf{Z}[C_2] \end{array} \xleftarrow{1+\gamma} \dots$$

Applying $\text{Hom}_{\mathbf{Z}[G]}(\mathbf{Z}, \cdot)$ (taking fixed points) to this gives (in dimensions $\leq 2m$ for $m > 4$)

$$\begin{array}{ccccccc} \mathbf{Z} & \xleftarrow{2} & \mathbf{Z} & \xleftarrow{0} & \mathbf{Z} & \xleftarrow{2} & \mathbf{Z} & \xleftarrow{0} & \mathbf{Z} & \xleftarrow{\dots} & \dots \\ m & & m+1 & & m+2 & & m+3 & & m+4 & & \end{array}$$

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The proof of the Gap Theorem (continued)

Again, $\text{Hom}_{\mathbf{Z}[G]}(\mathbf{Z}, C(m\rho_g))$ in low dimensions is

$$\begin{array}{ccccccc} \mathbf{Z} & \xleftarrow{2} & \mathbf{Z} & \xleftarrow{0} & \mathbf{Z} & \xleftarrow{2} & \mathbf{Z} & \xleftarrow{0} & \mathbf{Z} & \xleftarrow{\dots} & \dots \\ m & & m+1 & & m+2 & & m+3 & & m+4 & & \end{array}$$

It follows that for $m \leq k < 2m$,

$$\pi_k^G(S^{m\rho_g} \wedge H\mathbf{Z}) = \begin{cases} \mathbf{Z}/2 & k \equiv m \pmod{2} \\ 0 & \text{otherwise.} \end{cases}$$

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The proof of the Gap Theorem (continued)

We can study the groups $\pi_*^G(S^{m\rho_g} \wedge H\mathbf{Z})$ for $m < 0$ in two different ways, topologically and algebraically.

For the topological approach, it is the same as the graded group

$$[S^{-m\rho_g}, H\mathbf{Z}]_*^G \quad \text{where } m < 0.$$

Since G acts trivially on the target $H\mathbf{Z}$, equivariant maps to it are the same as ordinary maps from the orbit space $S^{-m\rho_g}/G$.

For simplicity, assume that $G = C_2$. Then the orbit space is $\Sigma^{-m+1}\mathbf{R}P^{-m-1}$, and we are computing its ordinary reduced cohomology with integer coefficients. We have

$$\begin{aligned} \pi_{-k}^G(S^{m\rho_g} \wedge H\mathbf{Z}) &= \overline{H}^k(\Sigma^{-m+1}\mathbf{R}P^{-m-1}; \mathbf{Z}) \\ &= 0 \begin{cases} \text{unless } k = -m+2 \text{ when } m = -2 \\ \text{unless } -m+3 \leq k \leq -2m \text{ when } m \leq -3. \end{cases} \end{aligned}$$

The increased lower bound is responsible for the gap.

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The proof of the Gap Theorem (continued)

Alternatively, $S^{m\rho_g}$ (with $m < 0$) is the equivariant Spanier-Whitehead dual of $S^{-m\rho_g}$. This means that

$$\pi_*^G(S^{m\rho_g} \wedge H\mathbf{Z}) = H^*(\text{Hom}_{\mathbf{Z}[G]}(C(-m\rho_g), \mathbf{Z})).$$

Applying the functor $\text{Hom}_{\mathbf{Z}[G]}(\cdot, \mathbf{Z})$ to our chain complex $C(-m\rho_g)$

$$\begin{array}{ccccccc} \mathbf{Z} & \xleftarrow{\varepsilon} & \mathbf{Z}[C_2] & \xleftarrow{1-\gamma} & \mathbf{Z}[C_2] & \xleftarrow{1+\gamma} & \mathbf{Z}[C_2 \text{ or } C_4] & \xleftarrow{1-\gamma} & \dots \\ -m & & -m+1 & & -m+2 & & -m+3 & & \end{array}$$

gives a negative dimensional chain complex beginning with

$$\begin{array}{ccccccc} \mathbf{Z} & \xrightarrow{1} & \mathbf{Z} & \xrightarrow{0} & \mathbf{Z} & \xrightarrow{2} & \mathbf{Z} & \xrightarrow{0} & \mathbf{Z} & \longrightarrow & \dots \\ m & & m-1 & & m-2 & & m-3 & & m-4 & & \end{array}$$

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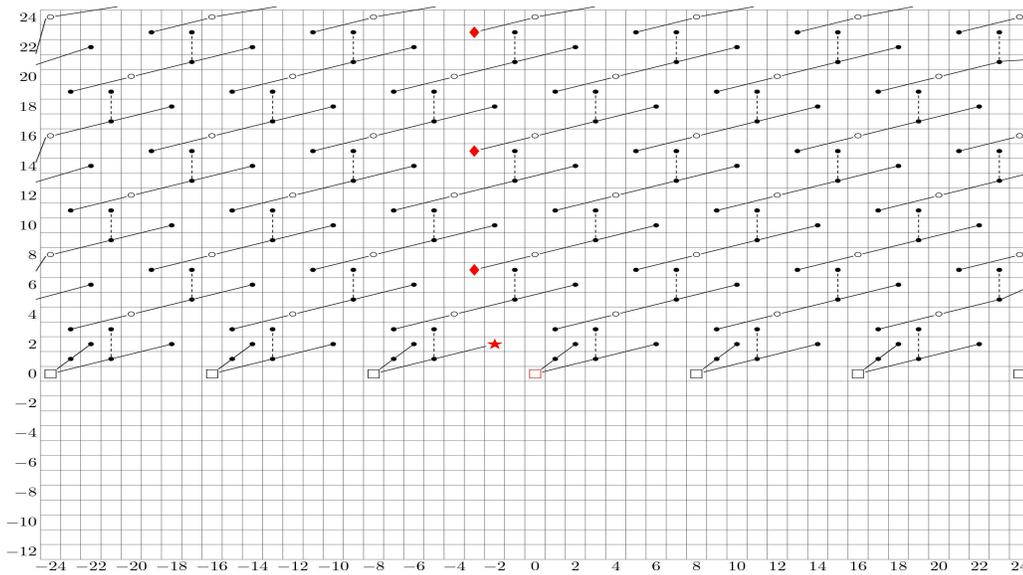
The proof of the Gap Theorem (continued)

Here is a diagram showing both functors in the case $m \leq -4$.

$$\begin{array}{ccccccccc}
 -m & -m+1 & -m+2 & -m+3 & -m+4 & & & & \\
 \mathbf{Z} & \xleftarrow{2} \mathbf{Z} & \xleftarrow{0} \mathbf{Z} & \xleftarrow{2} \mathbf{Z} & \xleftarrow{0} \mathbf{Z} & \xleftarrow{\dots} & & & \\
 & & \uparrow \text{Hom}_{\mathbf{Z}[G]}(\mathbf{Z}, \cdot) & & & & & & \\
 \mathbf{Z} & \xleftarrow{\varepsilon} \mathbf{Z}[C_2] & \xleftarrow{1-\gamma} \mathbf{Z}[C_2] & \xleftarrow{1+\gamma} \mathbf{Z}[C_2] & \xleftarrow{1-\gamma} \mathbf{Z}[C_2] & \xleftarrow{1-\gamma} \dots & & & \\
 & & \downarrow \text{Hom}_{\mathbf{Z}[G]}(\cdot, \mathbf{Z}) & & & & & & \\
 \mathbf{Z} & \xrightarrow{1} \mathbf{Z} & \xrightarrow{0} \mathbf{Z} & \xrightarrow{2} \mathbf{Z} & \xrightarrow{0} \mathbf{Z} & \xrightarrow{\dots} & & & \\
 m & m-1 & m-2 & m-3 & m-4 & & & &
 \end{array}$$

Note the difference in behavior of the map $\varepsilon : \mathbf{Z}[C_2] \rightarrow \mathbf{Z}$ under the functors $\text{Hom}_{\mathbf{Z}[G]}(\mathbf{Z}, \cdot)$ and $\text{Hom}_{\mathbf{Z}[G]}(\cdot, \mathbf{Z})$. They convert it to maps of degrees 2 and 1 respectively. **This difference is responsible for the gap.**

A homotopy fixed point spectral sequence



The corresponding slice spectral sequence

